



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : C07K 16/00		A1	(11) International Publication Number: WO 98/25971
			(43) International Publication Date: 18 June 1998 (18.06.98)
(21) International Application Number: PCT/GB97/03400 (22) International Filing Date: 10 December 1997 (10.12.97) (30) Priority Data: 9625640.9                      10 December 1996 (10.12.96)      GB (71) Applicant (for all designated States except US): CELLTECH THERAPEUTICS LIMITED [GB/GB]; 216 Bath Road, Slough, Berkshire SL1 4EN (GB). (72) Inventors; and (75) Inventors/Applicants (for US only): KING, David, John [GB/GB]; 69 Watchetts Drive, Camberley, Surrey GU15 2PF (GB). CHAPMAN, Andrew, Paul [GB/GB]; 48 Han- worth Road, Hampton, Middlesex TW12 3DL (GB). (74) Agent: MERCER, Christopher, Paul; Carpmaels & Ransford, 43 Bloomsbury Square, London WC1A 2RA (GB).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>	
(54) Title: MONOVALENT ANTIBODY FRAGMENTS			
(57) Abstract			
<p>Monovalent antibody fragments are described, each of which has one or more polymer molecules site-specifically attached through a sulphur atom of a cysteine residue located outside of the variable region domain of the antibody. The polymers include synthetic or naturally occurring polymers such as polyalkylenes, polyalkenylenes, polyoxyalkylenes or polysaccharides. Each fragment may be attached to one or more effector or reporter molecules, and is of use in therapy or diagnostics where it has markedly improved binding and/or pharmacokinetic properties when compared to other antibody fragments which have the same number and type of polymer molecules, but in which the polymer molecules are randomly attached.</p>			
BEST AVAILABLE COPY			

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

### **MONOVALENT ANTIBODY FRAGMENTS**

This invention relates to modified monovalent antibody fragments, to processes for their preparation, to compositions containing them and to  
5 their use in medicine.

Antibodies are increasingly being used in the clinic for diagnostic and therapeutic purposes. The aim in each case is to exploit the combination of high specificity and affinity of the antibody-antigen interaction, to enable  
10 detection and/or treatment of a particular lesion. The antibody is used alone, or is loaded with another atom or molecule such as a radioisotope or cytotoxic drug.

The pharmacokinetics and biodistribution of an antibody play a major role in determining whether its use in the clinic will be successful. Thus the  
15 antibody must be capable of being delivered to the site of action and be retained there for a length of time suitable to achieve its purpose. It also should be present only at sub-toxic levels outside of the target and it must be catabolised in a well-defined manner.

20 For many uses the pharmacokinetics of antibodies are not ideal. This is especially true for tumour diagnosis and therapy with antibody-radioisotope or drug conjugates. For diagnosis with such conjugates long half-lives limit the tumour-to-background ratio and hence the sensitivity of  
25 lesion detection. For therapy, a long half-life leads to long-term exposure of normal tissues to the antibody conjugate and hence to dose-limiting toxicity.

A number of approaches are available to manipulate the pharmacokinetics of antibodies, and these usually also affect their biodistribution. The  
30 simplest and most generally applicable approach is the use of antibody fragments. These are cleared more rapidly from the circulation than whole antibodies and distribute more rapidly from the blood to the tissues, which is a particular advantage in some applications, for example for tumour  
35 imaging and therapy.

- In order to improve the pharmacokinetics of antibody fragments still further we have investigated the use of polymers. The attachment of polymeric materials such as polyethylene glycol (PEG), to protein molecules is well established and it has been demonstrated that attachment of a polymer
- 5 can substantially alter the pharmacological properties of a protein molecule. For example, PEG modification of proteins can alter the *in vivo* circulating half-life of the protein, antigenicity and immunogenicity, solubility, mechanical stability and resistance to proteolysis [Abuchowski, A. *et al* J. Biol. Chem (1977) 252, 3578-3581 and 3582-3586; Nucci, M.
- 10 L. *et al*, Adv. Drug Delivery Reviews (1991) 6, 133-151; Francis, G. *et al*, Pharmaceutical Biotechnology Vol. 3. (Borchardt, R. T. ed.); and Stability of Protein Pharmaceuticals: *in vivo* Pathways of Degradation and Strategies for Protein Stabilization (1991) pp 235-263 (Ahern, T. J and Manning, M., ed.s) Plenum, New York].
- 15 Attachment of PEG to protein molecules has been achieved using a number of different chemical methods, most of which attach PEG to lysine residues or other amino acid residues on the surface of the protein in a random fashion [Zalipsky, S. & Lee, C. Poly(ethylene glycol) Chemistry:
- 20 Biotechnical and Biomedical Applications (1992) pp 347-370 (Harris, J. M., ed), Plenum, New York]. This often leads to partial impairment of the function of the protein, for example enzymes have reduced catalytic activity [Nucci, M. L. *et al* *ibid*].
- 25 Site-specific modification of proteins to introduce sites for PEG attachment has been reported. Interleukin-2, for example, has been modified by mutagenesis to replace a threonine residue which is normally glycosylated by a cysteine to allow attachment of PEG, [Goodson, R. J. & Katre, N. V. Bio/Technology (1990) 8, 343-346]. A site which is normally glycosylated
- 30 was chosen as this was thought to be capable of tolerating PEG modification without perturbation of the protein structure. In another example, the enzyme purine nucleoside phosphorylase has been modified to selectively replace arginine residues with lysines to provide in this instance up to eighteen additional potential PEG attachment sites per
- 35 enzyme molecule [Hershfield, M. S. *et al* P.N.A.S. (1991), 88, 7185-7189].

Previous studies with antibodies and antibody fragments have used random PEG attachment via lysine residues [e.g. Ling, T. G. I. & Mattiasson, B. J. Immunol. Methods (1983), 59, 327-337; Wilkinson, I. *et al* Immunol. Letters (1987) 15, 17-22; Kitamura, K. *et al* Cancer Res. (1991), 51, 4310-4315; Delgado, C. *et al* Br. J. Cancer (1996), 73, 175-182] and thiolated derivatives [Pedley, R. B. *et al* Br. J. Cancer (1994), 70, 1126-1130]. Random attachment has often resulted in modified antibodies which are only able to bind their target antigen with reduced affinity, avidity or specificity. In one attempt to overcome this, critical lysine residues in antigen binding (CDR) loops have been replaced with arginines to allow modification with less loss in immunoreactivity [Benhar, I. *et al* Bioconjugate Chemistry (1994) 5, 321-326].

Specific sites in the constant and the hinge regions of antibodies can be engineered to allow site-specific linkage of a range of effector and reporter molecules [Lyons, A. *et al* Prot. Eng. (1990), 3, 703-709; and European Patent Specifications Nos. 348442 and 347433]. We have now determined that site-specific attachment of polymers to monovalent antibody fragments can be used to avoid the loss of immunoreactivity previously associated with random attachment processes. Furthermore, fragments modified in this way have markedly improved binding and/or pharmacokinetic properties when compared to fragments which have been modified randomly with the same number and type of polymer molecules.

Thus according to one aspect of the invention we provide a modified monovalent antibody fragment comprising a monovalent antibody fragment and at least one polymer molecule in covalent linkage characterised in that each cysteine residue located in the antibody fragment outside of the variable region domain of the fragment is either covalently linked through its sulphur atom to a polymer molecule or is in disulphide linkage with a second cysteine residue located in the fragment provided that at least one of said cysteine residues is linked to a polymer molecule.

The modified antibody fragment according to the invention is essentially a monovalent antibody fragment covalently linked to one or more, for

example one, two or three polymer molecules through one or more, e.g. one, two or three cysteine residues located in the fragment outside of its variable region domain. The fragment may additionally have one or more effector or reporter molecules covalently attached to it as described hereinafter.

The modified antibody fragment of the invention will in general be capable of selectively binding to an antigen. The antigen may be any cell-associated antigen, for example a cell surface antigen such as a T-cell, endothelial cell or tumour cell marker, or it may be a soluble antigen. Particular examples of cell surface antigens include adhesion molecules, for example integrins such as  $\beta 1$  integrins, e.g. VLA-4, E-selectin, P-selectin or L-selectin, CD2, CD3, CD4, CD5, CD7, CD8, CD11a, CD11b, CD18, CD19, CD20, CD23, CD25, CD33, CD38, CD40, CD45, CDW52, CD69, carcinoembryonic antigen (CEA), human milk fat globulin (HMFG1 and 2), MHC Class I and MHC Class II antigens, and VEGF, and where appropriate, receptors thereof. Soluble antigens include interleukins such as IL-1, IL-2, IL-3, IL-4, IL-5, IL-6, IL-8 or IL-12, viral antigens, for example respiratory syncytial virus or cytomegalovirus antigens, immunoglobulins, such as IgE, interferons such as interferon- $\alpha$ , interferon- $\beta$  or interferon- $\gamma$ , tumour necrosis factor- $\alpha$ , tumour necrosis factor- $\beta$ , colony stimulating factors such as G-CSF or GM-CSF, and platelet derived growth factors such as PDGF- $\alpha$ , and PDGF- $\beta$  and where appropriate receptors thereof.

The term variable region domain as used herein in relation to the fragment according to the invention is intended to mean that part of the antibody fragment which contains the antigen binding site. The variable region domain may be of any size or amino acid composition and will generally comprise at least one hypervariable amino acid sequence responsible for antigen binding embedded in a framework sequence. In general terms the variable (V) region domain may be any suitable arrangement of immunoglobulin heavy ( $V_H$ ) and/or light ( $V_L$ ) chain variable domains. Thus for example the V region domain may be monomeric and be a  $V_H$  or  $V_L$  domain where these are capable of independently binding antigen with acceptable affinity. Alternatively the V region domain may be dimeric and contain  $V_H$ - $V_H$ ,  $V_H$ - $V_L$ , or  $V_L$ - $V_L$ , dimers in which the  $V_H$  and  $V_L$  chains

are non-covalently associated. Where desired, however, the chains may be covalently coupled either directly, for example via a disulphide bond between the two variable domains, or through a linker, for example a peptide linker, to form a single chain domain.

5

The variable region domain may be any naturally occurring variable domain or an engineered version thereof. By engineered version is meant a variable region domain which has been created using recombinant DNA engineering techniques. Such engineered versions include those created  
10 for example from natural antibody variable regions by insertions, deletions or changes in or to the amino acid sequences of the natural antibodies. Particular examples of this type include those engineered variable region domains containing at least one CDR and optionally one or more framework amino acids from one antibody and the remainder of the  
15 variable region domain from a second antibody.

The variable region domain will generally be covalently attached to at least one cysteine residue or in particular two or three cysteine residues each covalently linked through its sulphur atom to a polymer molecule.

20

The location of each cysteine residue may be varied according to the size and nature of the antibody fragment required. Thus, in one extreme example a cysteine residue linked through its sulphur atom to a polymer may be attached directly to a C-terminal amino acid of the variable region  
25 domain. This may be for example the C-terminus of a  $V_H$  or  $V_L$  chain as described above. If desired, in this example, further amino acids, including further cysteine-linked polymers, may be covalently linked to the C-terminus of the first cysteine residue.

30 In practice however, it is generally preferable that the variable region domain is covalently attached at a C-terminal amino acid to at least one other antibody domain or a fragment thereof which contains, or is attached to one, two, three or more cysteine residues, each covalently linked through its sulphur atom to a polymer molecule. Thus, for example where  
35 a  $V_H$  domain is present in the variable region domain this may be linked to an immunoglobulin  $C_H1$  domain or a fragment thereof. Similarly a  $V_L$

domain may be linked to a C<sub>K</sub> domain or a fragment thereof. In this way for example the fragment according to the invention may be a Fab fragment wherein the antigen binding domain contains associated V<sub>H</sub> and V<sub>L</sub> domains covalently linked at their C-termini to a CH1 and C<sub>K</sub> domain respectively. The CH1 domain may be extended with further amino acids, for example to provide a hinge region domain as found in a Fab' fragment, or to provide further domains, such as antibody CH2 and CH3 domains. In each of the above cases one or more, e.g. one, two or three, cysteine residues each linked to a polymer molecule may be located at any point throughout any domain.

The polymer molecule in the fragment according to the invention may in general be a synthetic or naturally occurring polymer, for example an optionally substituted straight or branched chain polyalkylene, polyalkenylene or polyoxyalkylene polymer or a branched or unbranched polysaccharide, e.g. a homo- or heteropolysaccharide.

Particular optional substituents which may be present on the above-mentioned synthetic polymers include one or more hydroxy, methyl or methoxy groups. Particular examples of synthetic polymers include optionally substituted straight or branched chain poly(ethylene glycol), poly(propylene glycol), or poly(vinyl alcohol) and derivatives thereof, especially optionally substituted poly(ethylene glycol) such as methoxy(polyethylene glycol) and derivatives thereof. Particular naturally occurring polymers include lactose, amylose, dextran or glycogen and derivatives thereof. "Derivatives" as used herein is intended to include reactive derivatives, for example thiol-selective reactive groups such as maleimides and the like. The reactive group may be linked directly or through a linker segment to the polymer. It will be appreciated that the residue of such a group will in some instances form part of the product of the invention as the linking group between the antibody fragment and the polymer.

The size of the polymer may be varied as desired, but will generally be in an average molecular weight range from around 500Da to around 50000Da for example from 5000 to 40000Da and including 25000 to

- 40000Da. The polymer size may in particular be selected on the basis of the intended use of the product. Thus for example where the product is intended to leave the circulation and penetrate tissue, for example for use in the treatment of a tumour, it may be advantageous to use a small
- 5 molecular weight polymer, for example around 5000Da. For applications where the product remains in the circulation it may be advantageous to use a higher molecular weight polymer, for example in the range 25000Da to 40000Da.
- 10 As explained above, each polymer molecule in the modified antibody fragment according to the invention is covalently linked to a sulphur atom of a cysteine residue located in the fragment. The covalent linkage will generally be a disulphide bond or, in particular, a sulphur-carbon bond.
- 15 Particularly useful fragments according to the invention are those wherein two or especially three cysteine residues located in the fragment outside of the variable region domain is each covalently linked through its sulphur atom to a polymer molecule, any other cysteine residue located in the fragment outside of the variable region domain being in disulphide linkage
- 20 with a second cysteine residue located in the fragment. In these particular fragments the polymer may especially be a synthetic polymer, particularly a polyalkylene polymer such as poly(ethylene glycol) or especially methoxypoly(ethylene glycol) or a derivative thereof, and especially with a molecular weight in the range from about 25000Da to about 40000Da.
- 25 Where desired, the antibody fragment according to the invention may have one or more effector or reporter molecules attached to it and the invention extends to such modified antibodies. The effector or reporter molecules may be attached to the antibody fragment through any available amino
- 30 acid side-chain or terminal amino acid functional group located in the fragment, for example any free amino, imino, hydroxyl or carboxyl group.
- Effector molecules include, for example, antineoplastic agents, toxins (such as enzymatically active toxins of bacterial or plant origin and
- 35 fragments thereof e.g. ricin and fragments thereof) biologically active proteins, for example enzymes, nucleic acids and fragments thereof, e.g.

DNA, RNA and fragments thereof, radionuclides, particularly radioiodide, and chelated metals. Suitable reporter groups include chelated metals, fluorescent compounds or compounds which may be detected by NMR or ESR spectroscopy.

5

Particular antineoplastic agents include cytotoxic and cytostatic agents, for example alkylating agents, such as nitrogen mustards (e.g. chlorambucil, melphalan, mechlorethamine, cyclophosphamide, or uracil mustard) and derivatives thereof, triethylenephosphoramidate, triethylenethiophosphor-  
10 amide, busulphan, or cisplatin; antimetabolites, such as methotrexate, fluorouracil, floxuridine, cytarabine, mercaptopurine, thioguanine, fluoroacetic acid or fluorocitric acid, antibiotics, such as bleomycins (e.g. bleomycin sulphate), doxorubicin, daunorubicin, mitomycins (e.g. mitomycin C), actinomycins (e.g. dactinomycin) plicamycin, calichaemicin  
15 and derivatives thereof, or esperamicin and derivatives thereof; mitotic inhibitors, such as etoposide, vincristine or vinblastine and derivatives thereof; alkaloids, such as ellipticine; polyols such as taxicin-I or taxicin-II; hormones, such as androgens (e.g. dromostanolone or testolactone), progestins (e.g. megestrol acetate or medroxyprogesterone acetate),  
20 estrogens (e.g. dimethylstilbestrol diphosphate, polyestradiol phosphate or estramustine phosphate) or antiestrogens (e.g. tamoxifen); anthraquinones, such as mitoxantrone, ureas, such as hydroxyurea; hydrazines, such as procarbazine; or imidazoles, such as dacarbazine.

25 Particularly useful effector groups are calichaemicin and derivatives thereof (see for example South African Patent Specifications Nos. 85/8794, 88/8127 and 90/2839).

Chelated metals include chelates of di-or tripositive metals having a  
30 coordination number from 2 to 8 inclusive. Particular examples of such metals include technetium (Tc), rhenium (Re), cobalt (Co), copper (Cu), gold (Au), silver (Ag), lead (Pb), bismuth (Bi), indium (In), gallium (Ga), yttrium (Y), terbium (Tb), gadolinium (Gd), and scandium (Sc). In general the metal is preferably a radionuclide. Particular radionuclides include  
35  $^{99m}\text{Tc}$ ,  $^{186}\text{Re}$ ,  $^{188}\text{Re}$ ,  $^{58}\text{Co}$ ,  $^{60}\text{Co}$ ,  $^{67}\text{Cu}$ ,  $^{195}\text{Au}$ ,  $^{199}\text{Au}$ ,  $^{110}\text{Ag}$ ,  $^{203}\text{Pb}$ ,  $^{206}\text{Bi}$ ,  $^{207}\text{Bi}$ ,  $^{111}\text{In}$ ,  $^{67}\text{Ga}$ ,  $^{68}\text{Ga}$ ,  $^{88}\text{Y}$ ,  $^{90}\text{Y}$ ,  $^{160}\text{Tb}$ ,  $^{153}\text{Gd}$  and  $^{47}\text{Sc}$ .

The chelated metal may be for example one of the above types of metal chelated with any suitable polydentate chelating agent, for example acyclic or cyclic polyamines, polyethers, (e.g. crown ethers and derivatives thereof); polyamides; porphyrins; and carbocyclic derivatives.

In general, the type of chelating agent will depend on the metal in use. One particularly useful group of chelating agents in conjugates according to the invention, however, are acyclic and cyclic polyamines, especially polyaminocarboxylic acids, for example diethylenetriaminepentaacetic acid and derivatives thereof, and macrocyclic amines, e.g. cyclic tri-aza and tetra-aza derivatives (for example as described in International Patent Specification No. WO 92/22583); and polyamides, especially desferrioxamine and derivatives thereof.

The modified antibody fragment according to the invention may be prepared by reacting an antibody fragment containing at least one reactive cysteine residue with a thiol-selective activated polymer. The reaction may generally be performed in a solvent, for example an aqueous buffer solution such as an acetate or phosphate buffer, at around neutral pH, for example around pH 4.5 to around pH 8.0, at for example ambient temperature. The activated polymer will generally be employed in excess concentration relative to the concentration of the antibody fragment. In some instances it may be necessary to reduce the antibody starting material with a reagent such as  $\beta$ -mercaptoethylamine (for example as described in Example 1 hereinafter) to generate an appropriately reactive cysteine residue. Where necessary, the desired product containing the desired number of polymer molecules may be separated from any other product generated during the production process and containing an unwanted number of polymer molecules by conventional means, for example by chromatography.

The antibody fragment starting material may be obtained from any whole antibody, especially a whole monoclonal antibody, [prepared by conventional immunisation and cell fusion procedures], using any suitable standard enzymatic cleavage and/or digestion techniques, for example by

treatment with pepsin. Alternatively, the antibody starting material may be prepared by the use of recombinant DNA techniques involving the manipulation and re-expression of DNA encoding antibody variable and/or constant regions. Such DNA is known and/or is readily available from DNA libraries including for example phage-antibody libraries [see Chiswell, D J and McCafferty, J. *Tibtech.* 10 80-84 (1992)] or where desired can be synthesised. Standard molecular biology and/or chemistry procedures may be used to sequence and manipulate the DNA, for example, to introduce codons to create cysteine residues, to modify, add or delete other amino acids or domains as desired.

From here, one or more replicable expression vectors containing the DNA may be prepared and used to transform an appropriate cell line, e.g. a non-producing myeloma cell line, such as a mouse NSO line or a bacterial, e.g. *E.coli* line, in which production of the antibody will occur. In order to obtain efficient transcription and translation, the DNA sequence in each vector should include appropriate regulatory sequences, particularly a promoter and leader sequence operably linked to the variable domain sequence. Particular methods for producing antibodies in this way are generally well known and routinely used. For example, basic molecular biology procedures are described by Maniatis *et al* [Molecular Cloning, Cold Spring Harbor Laboratory, New York, 1989]; DNA sequencing can be performed as described in Sanger *et al* [PNAS 74, 5463, (1977)] and the Amersham International plc sequencing handbook; and site directed mutagenesis can be carried out according to the method of Kramer *et al* [Nucl. Acids Res. 12, 9441, (1984)] and the Anglian Biotechnology Ltd handbook. Additionally, there are numerous publications, including patent specifications, detailing techniques suitable for the preparation of antibodies by manipulation of DNA, creation of expression vectors and transformation of appropriate cells, for example as reviewed by Mountain A and Adair, J R in *Biotechnology and Genetic Engineering Reviews* [ed. Tombs, M P, 10, Chapter 1, 1992, Intercept, Andover, UK] and in International Patent Specification No. WO 91/09967.

The activated polymer starting material for use in the preparation of antibody fragments according to the invention may be any polymer

containing a thiol reactive group such as an  $\alpha$ -halocarboxylic acid or ester, e.g. iodoacetamide, an imide, e.g. maleimide, a vinyl sulphone, or a disulphide. Such starting materials may be obtained commercially (for example from Shearwater Polymers Inc., Huntsville, AL, USA) or may be  
5 prepared from commercially available starting materials using conventional chemical procedures, for example as described by Zalipsky, S & Lee, C, *ibid* and in the Examples hereinafter.

Where it is desired to obtain an antibody fragment according to the  
10 invention linked to an effector or reporter molecule this may be prepared by standard chemical or recombinant DNA procedures in which the antibody fragment is linked either directly or via a coupling agent to the effector or reporter molecule either before or after reaction with the activated polymer as appropriate. Particular chemical procedures include  
15 for example those described in International Patent Specification Nos. WO 93/06231, WO 92/22583, WO 90,09195 and WO 89/01476. Alternatively, where the effector or reporter molecule is a protein or polypeptide the linkage may be achieved using recombinant DNA procedures, for example as described in International Patent Specification No. WO 86/01533 and  
20 European Patent Specification No. 392745.

The antibody fragment according to the invention may be useful in the detection or treatment of a number of diseases or disorders. Such diseases or disorders may include those described under the general  
25 headings of infectious disease, e.g. viral infection; inflammatory disease/autoimmunity e.g. rheumatoid arthritis, osteoarthritis, inflammatory bowel disease; cancer; allergic/atopic disease e.g. asthma, eczema; congenital disease, e.g. cystic fibrosis, sickle cell anaemia; dermatologic disease, e.g. psoriasis; neurologic disease, e.g. multiple sclerosis;  
30 transplants e.g. organ transplant rejection, graft-versus-host disease; and metabolic/idiopathic disease e.g. diabetes.

The modified antibody fragments according to the invention may be formulated for use in therapy and/or diagnosis and according to a further  
35 aspect of the invention we provide a pharmaceutical composition comprising a modified monovalent antibody fragment comprising a

monovalent antibody fragment and at least one polymer molecule in covalent linkage characterised in that each covalent linkage is through a sulphur atom of a cysteine residue located in the antibody fragment outside of the variable region domain of the fragment, together with one or  
5 more pharmaceutically acceptable excipients, diluents or carriers.

As explained above, the modified antibody fragment in this aspect of the invention may be optionally linked to one or more effector or reporter groups.

10

The pharmaceutical composition may take any suitable form for administration, and, preferably is in a form suitable for parenteral administration e.g. by injection or infusion, for example by bolus injection or continuous infusion. Where the composition is for injection of infusion,  
15 it may take the form of a suspension, solution or emulsion in an oily or aqueous vehicle and it may contain formulatory agents such as suspending, preservative, stabilising and/or dispersing agents.

Alternatively, the antibody composition may be in dry form, for  
20 reconstitution before use with an appropriate sterile liquid.

If the antibody composition is suitable for oral administration the formulation may contain, in addition to the active ingredient, additives such as: starch e.g. potato, maize or wheat starch or cellulose or starch  
25 derivatives such as microcrystalline cellulose; silica; various sugars such as lactose; magnesium carbonate and/or calcium phosphate. It is desirable that, if the formulation is for oral administration it will be well tolerated by the patient's digestive system. To this end, it may be desirable to include in the formulation mucus formers and resins. It may  
30 also be desirable to improve tolerance by formulating the antibody in a capsule which is insoluble in the gastric juices. It may also be preferable to include the antibody or composition in a controlled release formulation.

If the antibody composition is suitable for rectal administration the  
35 formulation may contain a binding and/or lubricating agent; for example polymeric glycols, gelatins, cocoa-butter or other vegetable waxes or fats.

Therapeutic and diagnostic uses of fragments according to the invention typically comprise administering an effective amount of the antibody fragment to a human subject. The exact amount to be administered will vary according to the use of the antibody and on the age, sex and condition of the patient but may typically be varied from about 0.1mg to 1000mg for example from about 1mg to 500mg. The antibody may be administered as a single dose or in a continuous manner over a period of time. Doses may be repeated as appropriate. Typical doses may be for example between 0.1-50mg/kg body weight per single therapeutic dose, particularly between 0.1-20 mg/kg body weight for a single therapeutic dose.

The following Examples illustrate the invention. In the Examples, the following antibody fragments are used and are identified in each case by the abbreviated name given below. In each instance the antibody was prepared from a mouse monoclonal antibody as described in International Patent Specification No. WO92/01059 (A5B7) or by using similar methods (hTNF40 and cTN3):

20

hA5B7 - This is an engineered human antibody which recognises carcinoembryonic antigen. The antibody fragment used here has one cysteine residue available for pegylation and located in its hinge region after activation with  $\beta$ -mercapto-ethylamine.

25

hTNF40 - This is an engineered human antibody which recognises human TNF $\alpha$ . Two hTNF40 antibody fragments are used in the Examples, one (Example 2) which has a single cysteine residue in the hinge region (see hA5B7 above), and a second (Example 3) which has two hinge cysteine residues, available for pegylation.

30

cTN3 - This is a chimeric hamster/mouse antibody which recognises mouse TNF $\alpha$  and has a mouse IgG2a constant region. The

35

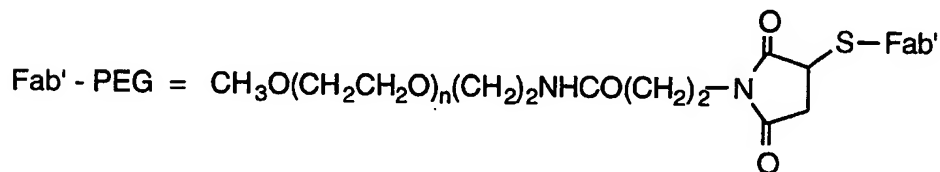
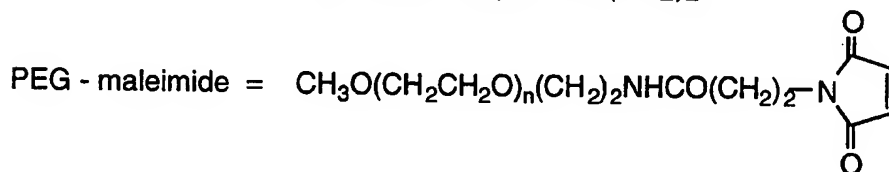
antibody has three hinge cysteine residues available for pegylation.

- 5 hg162 - This is an engineered human antibody which recognises human PDGF $\beta$  receptor. The antibody fragment used here has a single cysteine residue present in its hinge region available for pegylation.

The following abbreviations are used in Example 1:

10

PEG =  $\text{CH}_3\text{O}(\text{CH}_2\text{CH}_2\text{O})_n(\text{CH}_2)_2\text{NHCO}(\text{CH}_2)_2-$



- 15 In Examples 2-7, the PEG abbreviation is used to refer to straight or branched methoxypoly(ethylene glycol), with or without a linker segment between the poly(ethylene glycol) chain and thiol reactive group as indicated. In each Example, linkage to the antibody occurs either through a -S-C- bond as described above, or, in Example 5 through, a -S-S-bond.

20

In all the Examples, the following abbreviations are used:

- DTDP - 4,4'-dithiodipyridine  
 PBS - phosphate buffered saline  
 25 HPLC - high performance liquid chromatography  
 AUC - area under the curve

### EXAMPLE 1

#### Purification of hA5B7 Fab'

hA5B7 Fab' was expressed in *E.coli* W3110 cells grown in a 1.5 litre fermenter. Cells were harvested by centrifugation and resuspended to the original volume with 100mM Tris pH 7.4 containing 10mM EDTA, and incubated overnight at 55°C. The resulting cell extract was then clarified  
5 by centrifugation, made 1M with respect to glycine, and the pH adjusted to 7.5 with 50% (w/v) sodium glycinate. This sample was applied to a column of Streamline® A (Pharmacia) equilibrated with 1M glycine/glycinate pH8.0. After washing with equilibration buffer, hA5B7 Fab' was eluted with 0.1M citrate pH3.0. The eluted hA5B7 Fab' was then  
10 adjusted to pH6.0 with 2M Tris pH8.5 and concentrated by ultrafiltration.

#### **Preparation of PEG-maleimide reagent**

A maleimide derivative of PEG was prepared as previously described [Pedley *et al* (1994)*ibid*]. Methoxypolyoxyethylene amine (average  
15 molecular weight approximately 5000, Sigma) was dissolved in 0.1M sodium phosphate buffer, pH7.0, and incubated with a 1.2-fold molar excess of 3-maleimido-propionic acid N-hydroxysuccinimide ester for 1h at room temperature. The extent of reaction was determined by spotting aliquots of the reaction mixture onto a TLC plate (Kieselgel 60), and  
20 developing with ninhydrin. The reaction was considered complete when there was no purple coloration remaining (amine reaction with ninhydrin). The PEG-maleimide product was desalted using a Sephadex G-25 (PD-10) column (Pharmacia) into deionised water, and lyophilised. The  
25 presence of active maleimide groups was demonstrated by back titration with  $\beta$ -mercaptoethylamine.

#### **Preparation of hA5B7 Fab'-PEG (site-specific)**

Purified hA5B7 Fab' at a concentration of approximately 11mg/ml was desalted into 0.1M acetate buffer, pH6.0, using a Bio-Spin 6 column (Bio-  
30 Rad). The hinge thiol group was then activated by reduction with  $\beta$ -mercaptoethylamine. hA5B7 Fab' was incubated with 5mM  $\beta$ -mercaptoethylamine in 0.1M acetate buffer pH6.0 for 30 min at 37°C. The sample was then desalted using a Bio-Spin 6 column into 0.1M phosphate buffer pH6.0. The number of thiol groups per Fab' molecule was  
35 measured by titration with DTDP as previously described [Lyons *et al* (1990) *ibid*]. The sample was then incubated for 2.5h at room temperature

with a greater than 10-fold molar excess of PEG-maleimide produced as described above. The PEG modified Fab' was then desalted on a Bio-Spin 6 column into phosphate buffered saline pH 6.8, and a thiol titration carried out to ensure that the thiol groups had reacted fully with the PEG-maleimide reagent.

#### **Preparation of Randomly Modified hA5B7 Fab'-PEG**

hA5B7 Fab' at approximately 11mg/ml was desalted into 0.1M phosphate pH8.0, using a Bio-Spin 6 column. Thiols were introduced randomly onto lysine residues by reaction with a 7-fold molar excess of 2-iminothiolane (Traut's reagent) for 1h at room temperature. After desalting into 0.1M phosphate pH6.0 using a Bio-Spin 6 column, the number of thiol groups introduced was determined using titration with DTDP. The thiolated hA5B7 Fab' was then reacted with PEG-maleimide and desalted as described above for the site-specific modification.

#### **Analysis of PEG-modified samples**

In order to compare the two methods of PEG attachment (site-specific and random), samples with a similar degree of modification were sought. Using the conditions described above, attachment site-specifically at the hinge resulted in an average of 0.98 PEG molecules per Fab' molecule, whilst the random attachment via Traut's reagent resulted in an average of 1.18 PEG molecules per Fab'. Analysis by SDS-PAGE under non-reducing conditions (Figure 1) revealed that the major band in the unmodified hA5B7 Fab' sample (lane 1) has a molecular weight of about 50kDa as expected. The sample with PEG attached via site-specific means at the hinge (lane 2) contains some residual unmodified Fab, as well as two other distinct species with larger sizes, the most prominent of which has an apparent molecular weight of approximately 66Dka. A similar amount of residual unreacted Fab' is also detected in the randomly modified sample. This sample can be seen to be much more heterogeneous than the site-specifically modified sample with some discrete bands but also a diffuse staining of bands covering a relatively wide molecular weight range. The exact size of PEG-modified proteins cannot be deduced from this technique since the attachment of PEG is known to alter the running of protein bands on electrophoresis relative to standard

proteins. However, it can be seen that both preparations have been modified with PEG and that different molecular species are produced in each case.

- 5 In order to assess the effect of PEG modification on the activity of hA5B7 Fab', a kinetic assay was carried out by surface plasmon resonance using a Biacore 2000 instrument (Pharmacia Biosensor). The assay was carried out by a modification of the method described previously [Abraham *et al*  
J. Immunol. Methods (1995), 183, 119-125].
- 10 Carcinoembryonic antigen (CEA) (100ml, 0.92µg/ml) was buffer exchanged into 0.1M sodium acetate pH 5.5 using a BioSpin 6 column (BioRad). Sodium periodate (2µl, 50mM in 0.1M sodium acetate, pH 5.5 freshly prepared) was added and the mixture was incubated on ice for 20  
15 minutes. The reaction was stopped by buffer exchange into 10mM sodium acetate pH 4.0 on a BioSpin 6 column to give 100µl oxidised CEA at approximately 0.89mg/ml. The oxidised CEA was then immobilized onto a CM5 sensor chip (Pharmacia Biosensor) using the standard aldehyde coupling procedure described in the manufacturers instructions. Briefly,  
20 this involved activation of the surface by injection of EDC/NHS reagent (15µl, amine coupling kit, Pharmacia Biosensor) at a flow-rate of 5µl/min, followed by injection of 35µl 5mM hydrazine and then 35µl 1M ethanolamine. This was followed by injection of 35µl oxidised CEA at either 5, 1, 0.2 or 0.05µg/ml in 10mM sodium acetate pH 4.0. Finally 40µl  
25 0.1M sodium cyanoborohydride was passed over the surface and the sensorchip washed with 4 successive aliquotes of 10mM hydrochloric acid prior to use. Each hA5B7 Fab' sample was diluted in eight doubling dilutions from 20µg/ml in HBS buffer (Pharmacia Biosensor). The samples were injected over the CEA surface to observe binding and dissociation  
30 kinetics. Association and dissociation rate constants were calculated by assuming simple 1:1 binding kinetics and applying the non-linear rate equations supplied with the manufacturers analysis program.

35 The results of this analysis (Table 1) show that in this assay there was some loss of potency (to 56% of the unmodified hA5B7 Fab') as a result of the site-specific attachment at the hinge. However, the attachment of a

similar number of PEG molecules in a random fashion resulted in material with only 29% immunoreactivity. The loss of potency appears to be mainly due to a reduced rate of association, although there may also be a slightly increased dissociation rate.

5

For pharmacokinetic analysis Fab' samples were labelled with  $^{125}\text{I}$  using Bolton-Hunter reagent by standard methodology and desalted into phosphate buffered saline pH6.8 to remove unreacted  $^{125}\text{I}$ . Groups of six male Wistar rats were injected i.v. into the tail vein with  $20\mu\text{g}$  of labelled

10 Fab'. At selected time points, blood samples were taken, counted in a gamma counter, and the percent injected dose per gram of blood calculated. The clearance rates and area under the curve values were determined using the SIPHAR software package.

15 Clearance curves for hA5B7 Fab' and the two PEG modified preparations are shown in Figure 2. From these curves it can be clearly seen that the clearance of PEG-modified hA5B7 Fab' is significantly slower than that of the unmodified hA5B7 Fab'. In addition, the clearance of the site-specifically modified Fab' is unexpectedly slower than that of the randomly  
20 modified material. Calculated pharmacokinetic parameters (Table 2) show that modification with PEG decreases the rate of clearance of hA5B7 Fab' in both the  $\alpha$  and  $\beta$  phases by approximately two-fold. These improvements are reflected in the area under the curve (AUC) values which show a significant effect of PEG attachment. The attachment of  
25 PEG site-specifically results in an increase of the AUC of approximately 18-fold compared to the unmodified Fab', whilst randomly attached PEG results in only a six-fold increase compared to the unmodified Fab'.

**Table 1**

Immunoreactivity of hA5B7 Fab' samples binding to CEA by BIAcore analysis.

5

	$k_{\text{ass}}$ ( $\times 10^4 \text{ M}^{-1} \text{ s}^{-1}$ )	$k_{\text{diss}}$ ( $\times 10^{-4} \text{ s}^{-1}$ )	$K_D$ (nM)	Immuno- reactivity
Unmodified Fab'	8.60	1.61	1.87	100%
Hinge attachment	5.18	1.73	3.34	56%
Random attachment	3.26	2.12	6.50	29%

10

**Table 2**

Pharmacokinetic properties of PEG-modified Fabs

	$t_{1/2\alpha}$ (h)	$t_{1/2\beta}$ (h)	AUC (0- $\infty$ ) (% dose x h)
Unmodified Fab'	0.33	10.0	47
Random attachment	0.58	22.1	293
Hinge attachment	0.71	22.5	866

15

**EXAMPLE 2****Site-specific attachment of PEG to hTNF40 Fab'****Purification of hTNF40 Fab'**

- 5 hTNF40 Fab' was expressed in *E. coli* W3110 cells grown in a 1.5 litre fermenter and a cell extract prepared as described in Example 1. The cell extract was diluted to a conductivity of 3.5 $\mu$ S/cm, adjusted to pH4.5 and applied to a column of Streamline® SP (Pharmacia) equilibrated with 50mM acetate buffer pH4.5. After washing with equilibration buffer, the
- 10 Fab' was eluted with 200mM sodium chloride in 50mM acetate buffer pH4.5. The pH of the eluted material was adjusted to 6 and the Fab' was purified further by applying to a column of protein G-sepharose equilibrated in PBS. After washing with PBS, the Fab' was eluted with 0.1M glycine-hydrochloric acid pH2.7 and immediately the pH was re-
- 15 adjusted to 6. The purified Fab' was then concentrated to >10mg/ml by ultrafiltration.

**Preparation of hTNF40 Fab'-PEG(25kDa) and hTNF40 Fab'-PEG(40kDa) through hinge thiol PEG attachment**

- 20 Purified Fab' was buffer exchanged into 0.1M phosphate buffer pH6 containing 2mM EDTA. The hinge thiol group was then activated by reduction with 5mM  $\beta$ -mercaptoethylamine as described in Example 1. This resulted in an average of 1.1 thiols per Fab' as determined by titration with DTDP. Fab'-PEG samples were then produced with both 25kDa and
- 25 a 40kDa PEG using PEG-maleimide derivatives supplied by Shearwater Polymers Inc. Huntsville, AL, USA. The 25kDa PEG-maleimide derivative was a single PEG chain linked directly to a maleimide group, and the 40kDa PEG-maleimide derivative was prepared from a branched structure comprising two 20kDa PEG chains linked through a lysine derivative to a
- 30 maleimide group. Freshly reduced and desalted Fab' was incubated with a three-fold molar excess of 25kDa PEG-maleimide or a nine-fold molar excess of 40kDa PEG-maleimide overnight at room temperature and the resulting Fab'-PEG conjugates purified by gel-filtration HPLC using a Zorbax GF-250 column run in 0.2M phosphate buffer pH7.0. For
- 35 comparative purposes, a randomly PEG-modified hTNF40 Fab' was also prepared with 25kDa PEG as described for 5kDa PEG attachment to

A5B7 Fab' in Example 1. Conjugate with an average of 1.5 PEG molecules per Fab' was prepared.

**Analysis of Fab'-PEG conjugates**

5 Purified samples were examined by SDS-PAGE under non-reducing conditions. PEG conjugates ran as expected with slower mobility than the unmodified Fab' and were shown to be free of unmodified Fab' (Figure 3). In addition, the hinge modified Fab'-PEG conjugates were more defined on SDS-PAGE than the random PEG conjugate.

10

The ability of the PEG conjugates to bind to their antigen, TNF, was examined in an L929 bioassay and compared to both the unmodified Fab' and IgG. L929 is an adherent mouse fibroblast cell line which is sensitive to the cytotoxic action of TNF in the presence of the protein synthesis inhibitor actinomycin D. It is therefore possible to compare the activity of TNF antagonists such as anti-TNF antibodies using this cell line. 96 well plates seeded with L929 cell monolayers are cultured in 100ng/ml of human TNF with 1 µg/ml actinomycin D for 18 hours in RPMI medium supplemented with glutamine. Under these conditions between 95-100% of the cells are killed and cease to adhere to tissue culture plastic. The remaining cells were then fixed with 100% methanol for 1 minute and stained with 5% crystal violet. Plates were then washed and the stained cells dissolved in 30% acetic acid before analysis on a plate reader. This experiment was also carried out with titrations of antibody samples added to the wells at the same time as the TNF. Results are plotted as TNF antagonist concentration against residual TNF where the lower the TNF concentration the greater the inhibition. Inhibitory antibodies can then be compared by calculating the concentration of each required to inhibit 90% of the TNF activity to give an IC90 value.

30

The hTNF40 antibody and its Fab' fragment have an IC90 of 3ng/ml in this L929 assay. Results with both 25kDa and 40kDa hinge modified PEG conjugates also showed IC90 values of 3ng/ml, suggesting that these conjugates neutralised TNF to the same extent as hTNF40 Fab' and IgG (Figure 4). Randomly conjugated hTNF40 Fab'-PEG was less potent than the hinge conjugated preparations with an IC90 value of 10ng/ml.

35

Pharmacokinetic analysis of conjugates was performed in rats with <sup>125</sup>I labelled material as described in Example 1. The results, (Figure 5) demonstrate increased circulating half-life for all PEG modified Fab' fragments compared to the unmodified Fab'. Attachment of larger PEG molecules at the hinge region increased circulating half-life more than smaller PEG molecules. Randomly modified Fab' with an average of 1.5 25kDa PEG molecules per Fab' (average 37.5kDa PEG per Fab') showed an intermediate circulating half-life between the hinge region Fab' conjugates with 25kDa and 40kDa PEG.

In a separate experiment the pharmacokinetics of hTNF40 IgG, Fab' and Fab'-PEG (25kDa hinge attached) were compared in rats after labelling with <sup>111</sup>In. IgG and Fab' were conjugated to a 9N3 macrocyclic chelator for labelling with <sup>111</sup>In as described [Turner *et al.*, Br. J. Cancer 70, 35-41 (1994)]. For the PEG conjugate, Fab'-9N3 conjugate was prepared and labelled with <sup>111</sup>In using the same method and subsequently 25kDa PEG was attached to the hinge region as described above. The labelled conjugate was then purified by gel-filtration HPLC. Results of the rat pharmacokinetic experiment with these <sup>111</sup>In labelled conjugates (Figure 6) again demonstrate an increased half-life in circulation for the PEG modified Fab' compared to unmodified Fab' with blood levels higher than IgG by 144 hours.

### 25 **EXAMPLE 3**

#### **Production of hTNF40 Fab'-(PEG)<sub>2</sub>**

In this example the applicability of this method to Fab' fragments produced by digestion from IgG which contain two hinge cysteine residues is demonstrated.

30

#### **Preparation of hTNF40 Fab'-(PEG)<sub>2</sub>**

hTNF40 whole antibody was expressed in NS0 cells, purified by protein A sepharose chromatography and F(ab')<sub>2</sub> produced by digestion with pepsin using standard techniques. F(ab')<sub>2</sub> was purified by gel filtration chromatography using Sephacryl S-200 HR. After buffer exchange into 0.1M phosphate pH8 containing 5mM EDTA, F(ab')<sub>2</sub> was reduced to Fab'

by incubation with 5mM  $\beta$ -mercaptoethylamine for 30 minutes at 37°C. PEG-maleimide (25kDa PEG - see Example 2) was then added to 3 fold molar excess over Fab' concentration and the reaction allowed to proceed overnight. The resulting mixture was analysed by gel-filtration HPLC and found to contain a mixture of unmodified Fab', Fab'-PEG and Fab'-(PEG)<sub>2</sub> (Figure 7). The PEG modified material was purified by gel-filtration HPLC and resulted in a mixture of 1:1.2, Fab'-PEG : Fab'-(PEG)<sub>2</sub>.

#### Antigen binding and pharmacokinetic analysis

Antigen binding activity was assessed by BIAcore assay which measured affinity for TNF binding. Fab' or PEG modified samples were captured with an immobilized anti-Fab' antibody and human TNF passed over the surface. The kinetics of TNF binding were then analysed. Results suggested that the mixture of Fab'-PEG and Fab'-(PEG)<sub>2</sub> had a binding affinity, K<sub>D</sub>, of 0.14nM. This compared favourably to the unmodified Fab' which had a K<sub>D</sub> of 0.28nM in the same assay, suggesting that there was no loss of antigen binding activity due to PEG attachment.

Pharmacokinetics of the purified material containing a mixture of Fab'-PEG and Fab'-(PEG)<sub>2</sub> were assessed in rats after radiolabelling with <sup>125</sup>I. Results (Figure 8) demonstrate an improved circulating half-life compared to Fab'-PEG alone which had been produced from *E. coli* expressed material with a single hinge cysteine prepared as described in Example 2.

#### EXAMPLE 4

##### Preparation of cTN3 Fab'-PEG, Fab'-(PEG)<sub>2</sub> and Fab'-(PEG)<sub>3</sub>

cTN3 antibody was expressed in NS0 cells, purified and digested with pepsin to produce F(ab')<sub>2</sub> using standard techniques. Purified F(ab')<sub>2</sub> was buffer exchanged into 0.1M phosphate buffer pH8 containing 5mM EDTA and then reduced with 9mM  $\beta$ -mercaptoethylamine and modified with PEG at the hinge region as described in Example 3. A mixture of Fab', Fab'-PEG, Fab'-(PEG)<sub>2</sub> and Fab'-(PEG)<sub>3</sub> was obtained. Fab' and Fab'-PEG was separated from Fab'-(PEG)<sub>2</sub> and Fab'-(PEG)<sub>3</sub> by gel-filtration HPLC. The purified sample of Fab'-(PEG)<sub>2</sub> and Fab'-(PEG)<sub>3</sub> contained a ratio of 1.6:1 Fab'-(PEG)<sub>2</sub> : Fab'-(PEG)<sub>3</sub>.

**Antigen binding and pharmacokinetic analysis**

- Antigen binding analysis of cTN3 Fab', Fab'-PEG, and Fab'-(PEG)<sub>2</sub> + Fab'-(PEG)<sub>3</sub> was carried out in a variant of the L929 bioassay described above. The TN3 antibodies were incubated with mouse TNF. In this assay cTN3
- 5 IgG has an IC<sub>90</sub> of approximately 1000pg/ml. In the same assay both the Fab' and Fab'-PEG have the same inhibition profile and IC<sub>90</sub> values. Therefore, results demonstrated no loss of antigen binding function after PEG modification (Figure 9).
- 10 Pharmacokinetics of the purified Fab'-PEG material and a mixture of Fab'-(PEG)<sub>2</sub> and Fab'-(PEG)<sub>3</sub> were assessed in rats after radiolabelling with <sup>125</sup>I. cTN3 Fab' and IgG were also compared in the same experiment. Results (Figure 10) demonstrate very rapid clearance for unmodified Fab' whereas PEG modified Fab' has a much longer circulating half-life. This is
- 15 further improved by the addition of more PEG as demonstrated by the Fab'-(PEG)<sub>2</sub> + Fab'-(PEG)<sub>3</sub> sample. This sample had an increased AUC compared to IgG (Figure 10).

- In Examples 5 and 6 the use of alternative thiol-selective reagents is demonstrated:
- 20

**EXAMPLE 5****Preparation of hTNF40 Fab'-PEG using a vinyl-sulphone reagent**

- Vinyl-sulphones have been reported to be thiol specific when used at pH 8 or below [Morpurgo, M. *et al*, Bioconjugate Chem. (1996), *Z*, 363-368]. In
- 25 this example, PEG is linked in a site-specific manner to Fab' using a 5kDa PEG vinyl sulphone derivative (Shearwater Polymers Inc. *ibid*) in which the PEG is directly attached to the sulphone group.
- 30 hTNF40 Fab' was prepared and reduced to generate a free hinge thiol as described in Example 2. In this preparation an average of 1.1 thiols per Fab' resulted as determined by titration with DTDP. After desalting into 0.1M phosphate buffer pH7.0 containing 2mM EDTA, a 30 fold molar excess of PEG-vinyl sulphone was added and the reaction mixture
- 35 incubated overnight. SDS-PAGE analysis revealed the conjugation of PEG onto the Fab' molecule with a yield of approximately 30% (Figure 11).

Fab'-PEG was purified by hydrophobic interaction chromatography using a Phenyl-Sepharose HP Hi Trap column (Pharmacia). The cross-linking mixture was made 1.5M with respect to ammonium sulphate and loaded onto a Phenyl-Sepharose HP column pre-equilibrated with 50mM phosphate buffer pH7.0 containing 1.5M ammonium sulphate. Fab'-PEG was eluted using a 50 column volume linear gradient to 50mM phosphate pH7. The antigen binding affinity was compared to unmodified Fab' by BIAcore analysis as described in Example 3. Results of this analysis (Table 3) demonstrated no loss of antigen binding activity through conjugation of PEG via a vinyl sulphone reagent as the binding affinity of the Fab'-PEG conjugate was similar to IgG.

#### **EXAMPLE 6**

##### **Preparation of hTNF40 Fab'-PEG using an iodoacetamide reagent**

In this Example, PEG is linked in a site-specific manner to Fab' using a 5kDaPEG iodoacetamide derivative (Shearwater Polymers Inc. *ibid*) in which the PEG is directly attached to the acetamide group.

hTNF40 Fab'-PEG was prepared and purified as described in Example 5 using a 30 fold molar excess of PEG-iodoacetamide. The antigen binding affinity of the product was compared to unmodified Fab' by BIAcore analysis as described in Example 3. Results of this analysis (Table 3) demonstrated no loss of antigen binding activity through conjugation of PEG via an iodoacetamide reagent as the binding affinity of the Fab'-PEG conjugate was similar to IgG.

**Table 3.****Kinetic analysis of hTNF40 Fab'-PEG conjugates**

	<b>k<sub>ass</sub></b>	<b>k<sub>diss</sub></b>	<b>K<sub>d</sub> (M)</b>
IgG standard	$4.41 \times 10^5$	$6.90 \times 10^{-5}$	$1.56 \times 10^{-10}$
Fab'-PEG (40kDA) from PEG-maleimide (Example 2)	$4.29 \times 10^5$	$7.87 \times 10^{-5}$	$1.84 \times 10^{-10}$
Fab'-PEG (5kDA) from PEG -vinyl sulphone (Example 5)	$3.69 \times 10^5$	$4.74 \times 10^{-5}$	$1.29 \times 10^{-10}$
Fab'-PEG (5kDa) from PEG-Iodoacetamide (Example 6)	$3.85 \times 10^5$	$5.88 \times 10^{-5}$	$1.53 \times 10^{-10}$

5

**EXAMPLE 7****Preparation of anti-PDGF $\beta$ R Fab'-PEG**

10 In this example the application of site-specific PEG attachment is demonstrated with a further recombinant Fab' fragment.

15 Fab' from the engineered human antibody hg162, which recognises PDGF $\beta$  receptor, was expressed in E.coli as described for the Fab' fragment of hTNF40 (see Example 2). Cells were harvested from fermentation culture by centrifugation and Fab' extracted by resuspending cells in 100mM tris pH7.4 containing 10mM EDTA and incubating at 60° overnight. Fab' was then purified by expanded bed chromatography using a column of Streamline A™ (Pharmacia) which was pre-equilibrated with 1M glycine/glycinate pH8.0. The sample was made 1M with respect to  
20 glycine and the pH adjusted to 7.5 with 50% (W/v) sodium glycinate before application to the column in expanded bed mode. After washing with equilibration buffer, the column material was packed into a packed bed and Fab' was eluted with 0.1M citrate pH3.0

25 Further purification was achieved by adjusting the pH of the eluate to 7.5 with 2M tris and applying to a column of Protein G sepharose pre-equilibrated with phosphate buffered saline pH7.4. After washing with

equilibration buffer, Fab' was eluted with 0.1M glycine-HCl pH2.7. The pH of the eluted Fab' was then adjusted to 6.0 with 2M tris.

Purified anti-PDGF $\beta$ R Fab' was diafiltered into 0.1M phosphate buffer, pH6.0 containing 2mM EDTA. The hinge thiol was activated by reduction with  $\beta$ -mercaptoethylamine. Fab' was incubated with 5mM  $\beta$ -mercaptoethylamine in 0.1M phosphate buffer, pH6.0 containing 2mM EDTA for 30 minutes at 37°. The sample was then desalted into 0.1M phosphate buffer, pH6.0 containing 2mM EDTA, using Sephadex G-25 (PD10) columns. The number of thiol groups per Fab' molecule was measured by titration with DTDP, and found to be 1.08. PEG-maleimide (40kDa See Example 2) was then added at a three fold molar excess and allowed to react overnight. Conversion to Fab'-PEG was achieved with a yield of approximately 60% (Figure 12). Fab'-PEG conjugate was then purified by gel filtration HPLC as described in Example 2.

Antigen binding affinity of anti-PDGF $\beta$ R Fab'-PEG was compared to unmodified Fab' by BIAcore analysis. Kinetic analysis to determine the on and off rates for anti-PDGF $\beta$ R Fab'-PEG binding to PDGF $\beta$ R was performed using a BIACORE 2000 (Biacore AB). A mouse IgG Fc-PDGF $\beta$ R fusion molecule was captured by an anti-mouse IgG immobilised on the sensor chip surface. This was followed by injection of anti-PDGF $\beta$ R Fab'-PEG. Affinipure F(ab')<sub>2</sub> fragment of goat anti-mouse Ig, Fc fragment specific (Jackson ImmunoResearch) was immobilised on a Sensor Chip CM5 via amine coupling chemistry to a level of 11500RU. A blank surface was prepared by following the immobilisation procedure but omitting injection of the capturing molecule. HBS buffer (10mM HEPES pH7.4, 0.15M NaCl, 3mM EDTA, 0.005% Surfactant P20, Biacore AB) was used as the running buffer with a flow rate of 10 $\mu$ l/min. An injection of mouse IgG Fc-PDGF $\beta$ R expressed in recombinant COS cell supernatant was captured by the immobilised anti-mouse IgG to a level between 200-250RU. Anti-PDGF $\beta$ R Fab' or Fab'-PEG molecules were titrated over the captured mouse IgG Fc-PDGF $\beta$ R surface from 2mg/ml to 0.52mg/ml. Surfaces were regenerated by injecting 10ml of 30mM hydrochloric acid. Injections of mouse IgG Fc-PDGF $\beta$ R and each concentration of anti-PDGF $\beta$ R Fab' or Fab'-PEG were repeated over the blank surface as

controls. The sensorgram for each anti-PDGF $\beta$ R Fab' or Fab'-PEG concentration was corrected with the corresponding sensorgram for the blank surface after deletion of the mouse IgG Fc-PDGF $\beta$ R injection and regeneration step. Kinetic parameters were calculated using  
5 BIAevaluation 2.1 software.

Results for Fab' and Fab'-PEG are shown in Table 4. There was little difference in the values of the kinetic parameters determined, demonstrating that attachment of PEG at the hinge region has resulted in  
10 little loss of antigen binding affinity.

**Table 4**

**BIAcore analysis of anti-PDGF $\beta$ R Fab' and Fab'-PEG**

15

	<b>k<sub>ass</sub></b>	<b>k<sub>diss</sub></b>	<b>K<sub>d</sub> (M)</b>
Fab'	6.89 x 10 <sup>6</sup>	2.52 x 10 <sup>-3</sup>	3.66 x 10 <sup>-10</sup>
Fab'-PEG	4.45 x 10 <sup>6</sup>	2.77 x 10 <sup>-3</sup>	6.22 x 10 <sup>-10</sup>

Pharmacokinetics of anti-PDGF $\beta$ R Fab' and Fab'-PEG were examined in a rat experiment using <sup>125</sup>I-labelled samples as described in Example 1. Results demonstrated much slower clearance from the blood for Fab'-PEG compared to Fab' (Figure 13). This was reflected in the calculations of  
20 pharmacokinetic parameters shown in Table 5.

**Table 5**

**Pharmacokinetic parameters of anti-PDGF $\beta$ R Fab'-POEG compared to Fab' and IgG.**

25

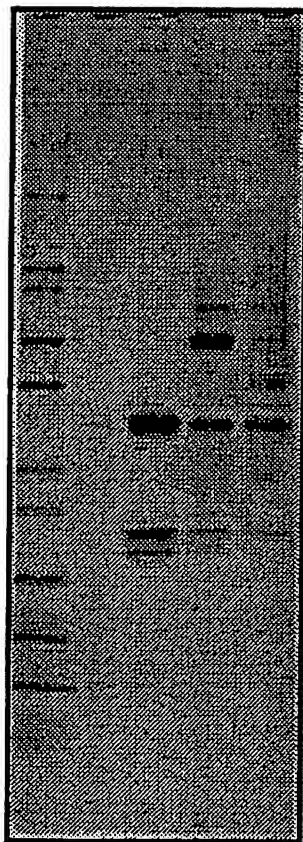
	<b>t<sub>1/2</sub> <math>\alpha</math> (hours)</b>	<b>t<sub>1/2</sub> <math>\beta</math> (hours)</b>	<b>AUC(0- (%dose x h)</b>	<b>AUC (% of IgG value)</b>
<b>IgG</b>	5.3 +/- 1.3	95.9 +/- 10.9	6442 +/- 525	100
<b>Fab'</b>	0.35 +/- 0.01	20.3 +/- 6.0	90 +/- 12	1.4
<b>Fab'-PEG (40kDa)</b>	8.9 +/- 4.7	49.1 +/- 4.8	5890 +/- 1296	91

**CLAIMS**

1. A modified monovalent antibody fragment comprising a monovalent  
antibody fragment and at least one polymer molecule in covalent  
linkage characterised in that each cysteine residue located in the  
antibody fragment outside of the variable region domain of the  
fragment is either covalently linked through its sulphur atom to a  
polymer molecule or is in disulphide linkage with a second cysteine  
residue located in the fragment provided that at least one of said  
cysteine residues is linked to a polymer molecule.
2. An antibody fragment according to Claim 1 which is covalently linked  
to one, two or three polymer molecules through one, two or three  
cysteine residues located in the fragment outside of its variable  
region domain.
3. An antibody fragment according to Claim 1 or Claim 2 wherein the  
polymer is an optionally substituted straight or branched chain  
polyalkylene, polyalkenylene or polyoxyalkylene polymer or a  
branched or unbranched polysaccharide.
4. An antibody fragment according to Claim 3 wherein the polymer is an  
optionally substituted straight or branched chain poly(ethylene  
glycol), poly(propylene glycol) or poly(vinyl alcohol) and derivatives  
thereof.
5. An antibody fragment according to Claim 4 wherein the polymer is  
methoxy(polyethylene glycol) and derivatives thereof.
6. An antibody fragment according to any one of Claim 1 to Claim 5 in  
which the variable region domain is monomeric and comprises an  
immunoglobulin heavy ( $V_H$ ) or light ( $V_L$ ) chain variable domain, or is  
dimeric and contains  $V_H$ - $V_H$ ,  $V_H$ - $V_L$  or  $V_L$ - $V_L$  dimers in which the  $V_H$   
and  $V_L$  chains are non-covalently associated or covalently coupled.

7. An antibody fragment according to Claim 6 wherein each  $V_H$  and/or  $V_L$  domain is covalently attached at a C-terminal amino acid to at least one other antibody domain or a fragment thereof.
- 5 8. An antibody fragment according to Claim 7 which is a Fab or Fab' fragment.
9. An antibody fragment according to any one of Claim 1 to Claim 8 covalently attached to one or more effector or reporter molecules.
- 10 10. A pharmaceutical composition comprising a monovalent antibody fragment according to any of the preceding claims together with one or more pharmaceutically acceptable excipients, diluents or carriers.

1 / 14

*FIG. 1*

M 1 2 3

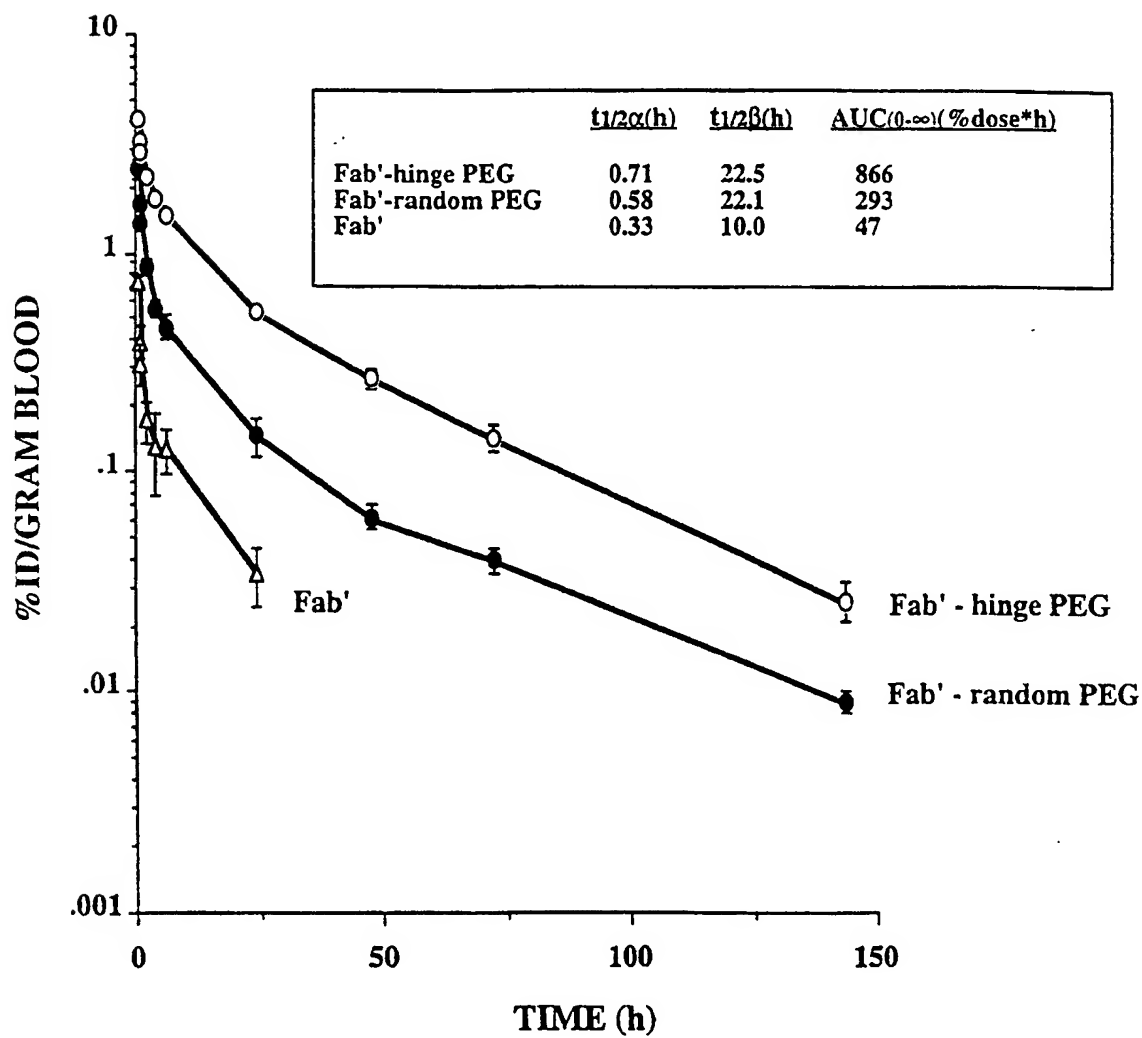
SDS-PAGE analysis of PEG-modified hA5B7 Fab'

Samples of unmodified hA5B7 Fab' (lane 1), hinge-modified Fab' (lane 2), and randomly-modified Fab' (lane 3) were prepared with non-reducing sample buffer, and 1.5µg of each loaded onto a 4-20% gradient Tris-glycine gel. Standard protein markers (lane M) were also run. These comprised myosin (200kDa), beta-galactosidase (116.3kDa), phosphorylase b (97.4 kDa), bovine serum albumin (66.3kDa), glutamate dehydrogenase (55.4kDa), lactate dehydrogenase (36.5 kDa), carbonic anhydrase (31kDa), trypsin inhibitor (21.5kDa), lysozyme (14.4kDa), aprotinin (6kDa) and insulin B & A chains (3.5 & 2.5kDa).

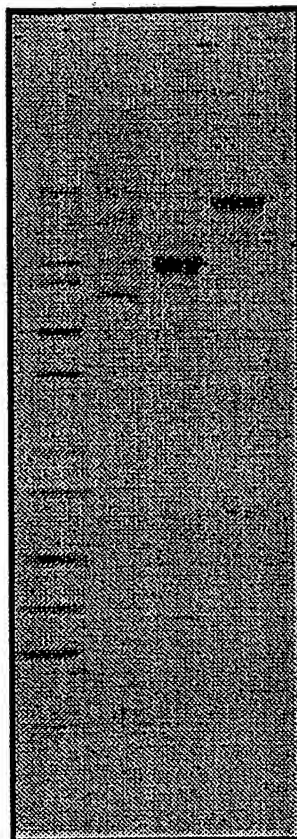
Following electrophoresis, the gel was stained with coomassie blue.

2/14

FIG. 2

Pharmacokinetics of  $^{125}$ -I labelled hA5B7 Fab' in rats

3/14

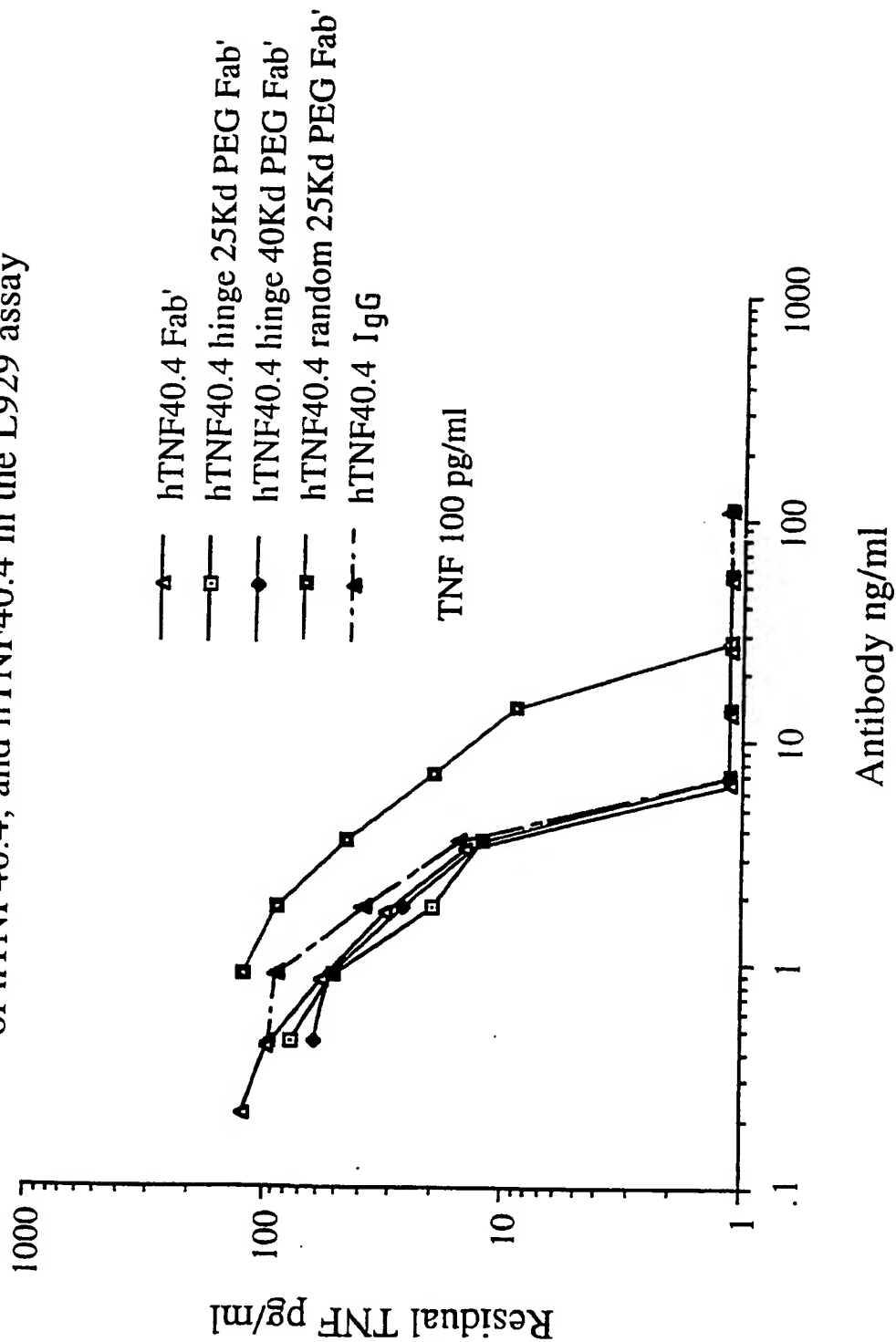
**FIG. 3****M 1 2 3****SDS-PAGE analysis of hTNF40 Fab'-PEG conjugates**

Samples of hTNF40 Fab'-PEG (25kDa) prepared by random conjugation (lane 1), Fab'-PEG (25kDa) prepared by hinge attachment (lane 2), and Fab'-PEG (40kDa) prepared by hinge attachment (lane 3) were prepared with non-reducing sample buffer, and 1.5µg of each loaded onto a 4-20% gradient Tris-glycine gel. Standard protein markers (lane M) were also run. These comprised myosin (200kDa), beta-galactosidase (116.3kDa), phosphorylase b (97.4 kDa), bovine serum albumin (66.3kDa), glutamate dehydrogenase (55.4kDa), lactate dehydrogenase (36.5 kDa), carbonic anhydrase (31kDa), trypsin inhibitor (21.5kDa), lysozyme (14.4kDa), aprotinin (6kDa) and insulin B & A chains (3.5 & 2.5kDa).

Following electrophoresis, the gel was stained with coomassie blue.

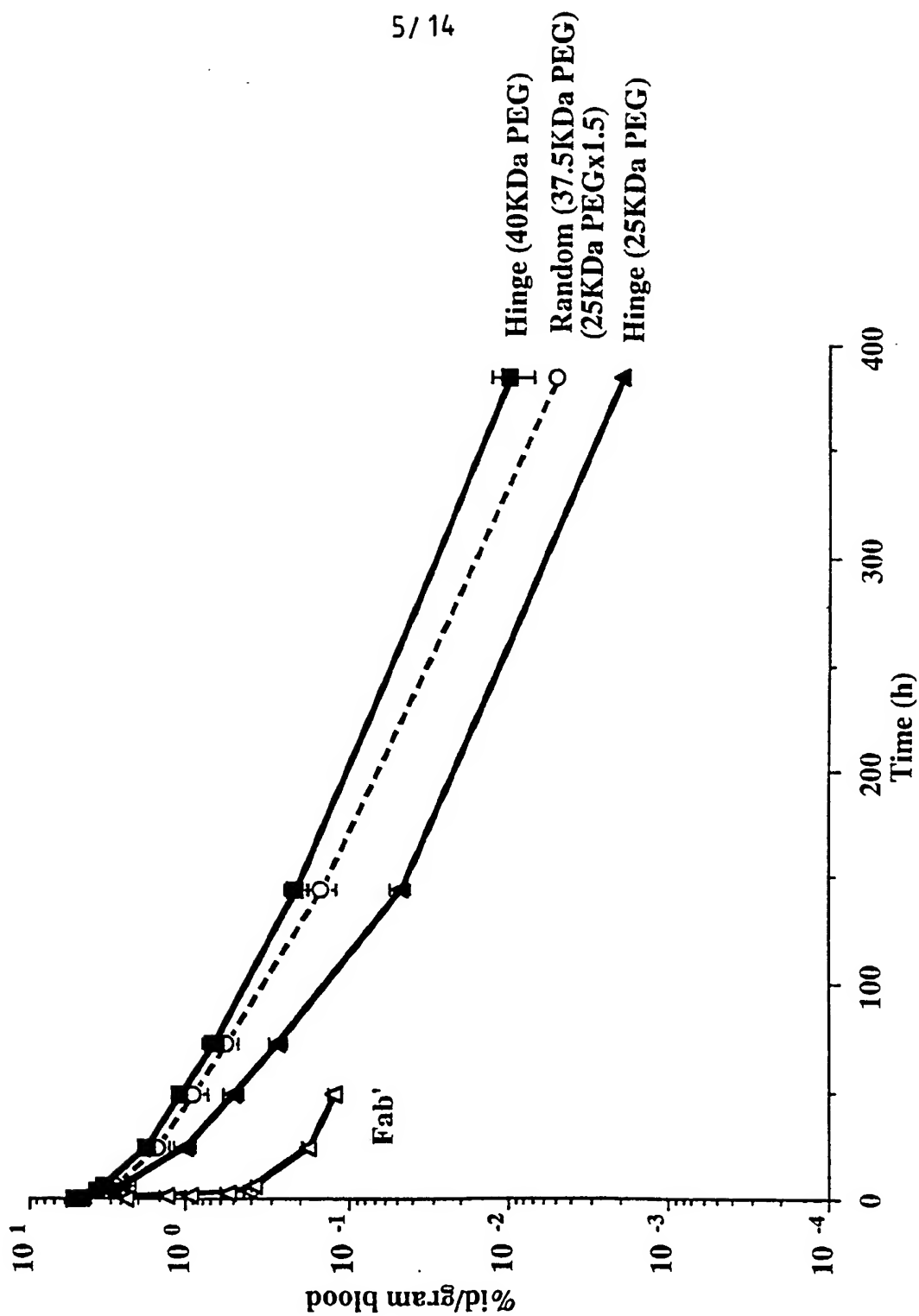
4 / 14

FIG. 4 Comparison between different PEG Fab' 's, Fab' of hTNF40.4, and hTNF40.4 in the L929 assay



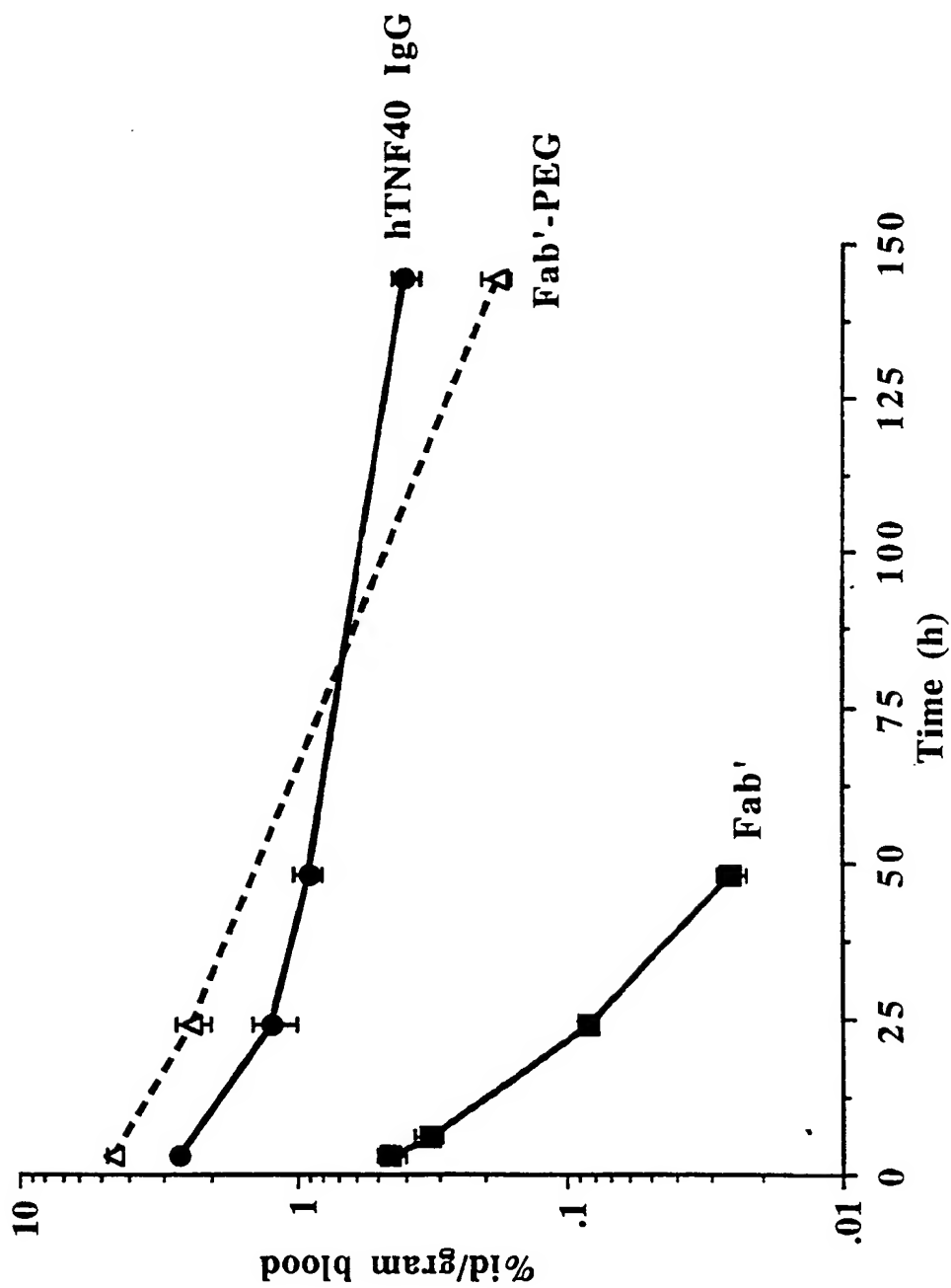
5/14

**FIG. 5** Pharmacokinetics of  $^{125}\text{I}$ -labelled hTNF40 Fab'-PEG in rats



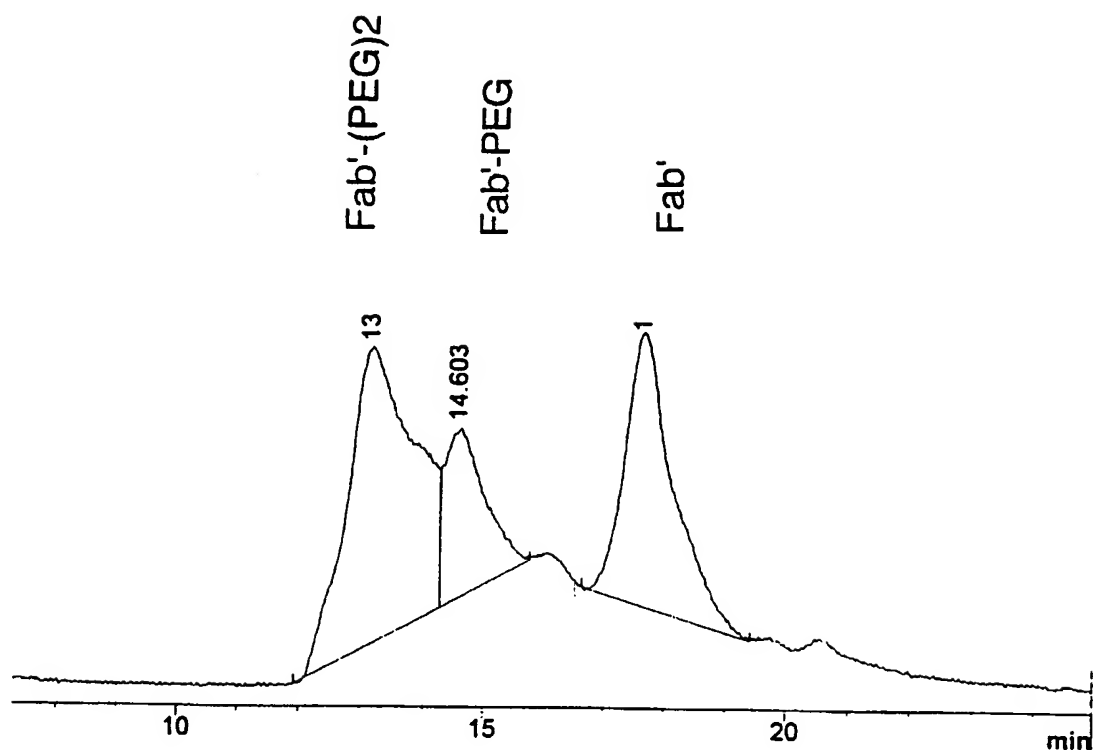
6/14

**FIG. 6 Pharmacokinetics of  $^{111}\text{In}$ -labelled hTNF40 in rats**



7/14

FIG. 7

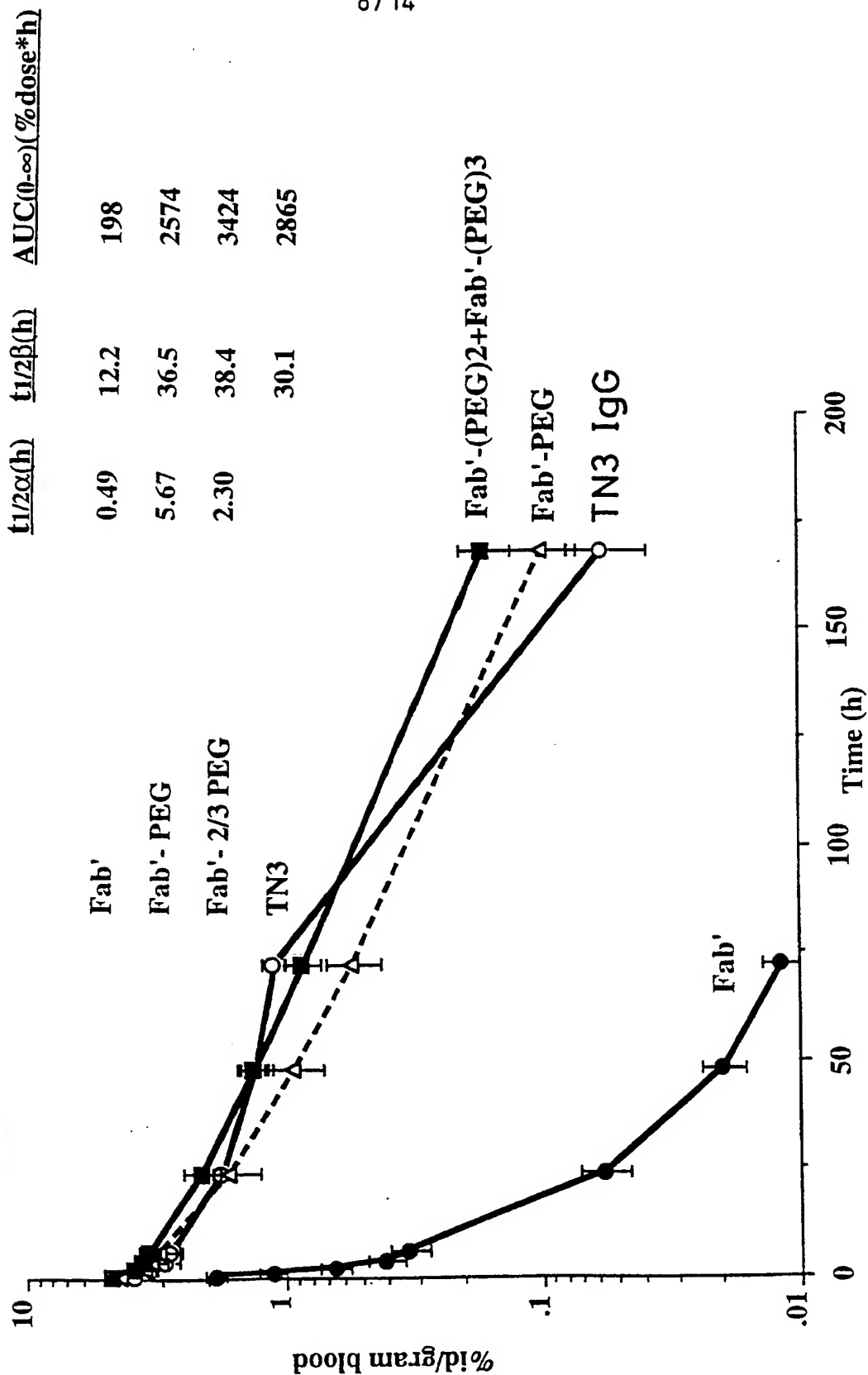


HPLC gel filtration of hTNF40 Fab', Fab'-PEG and Fab'(PEG)<sub>2</sub>

DuPont Zorbax GF-250 column run at 1ml/min in 0.2M phosphate buffer pH7.0

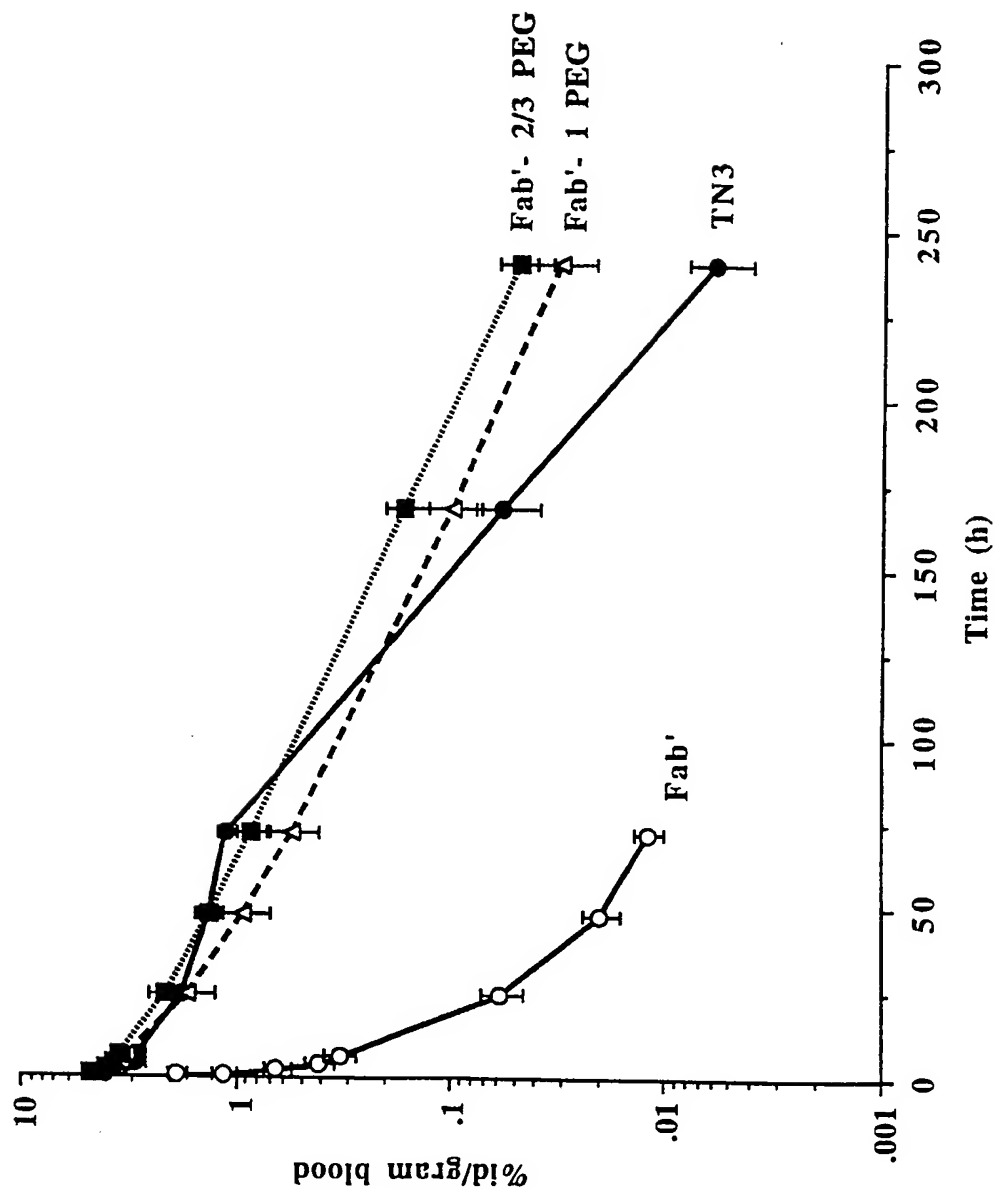
8/14

FIG. 8 Pharmacokinetics of 125-I labelled TN3 in rats



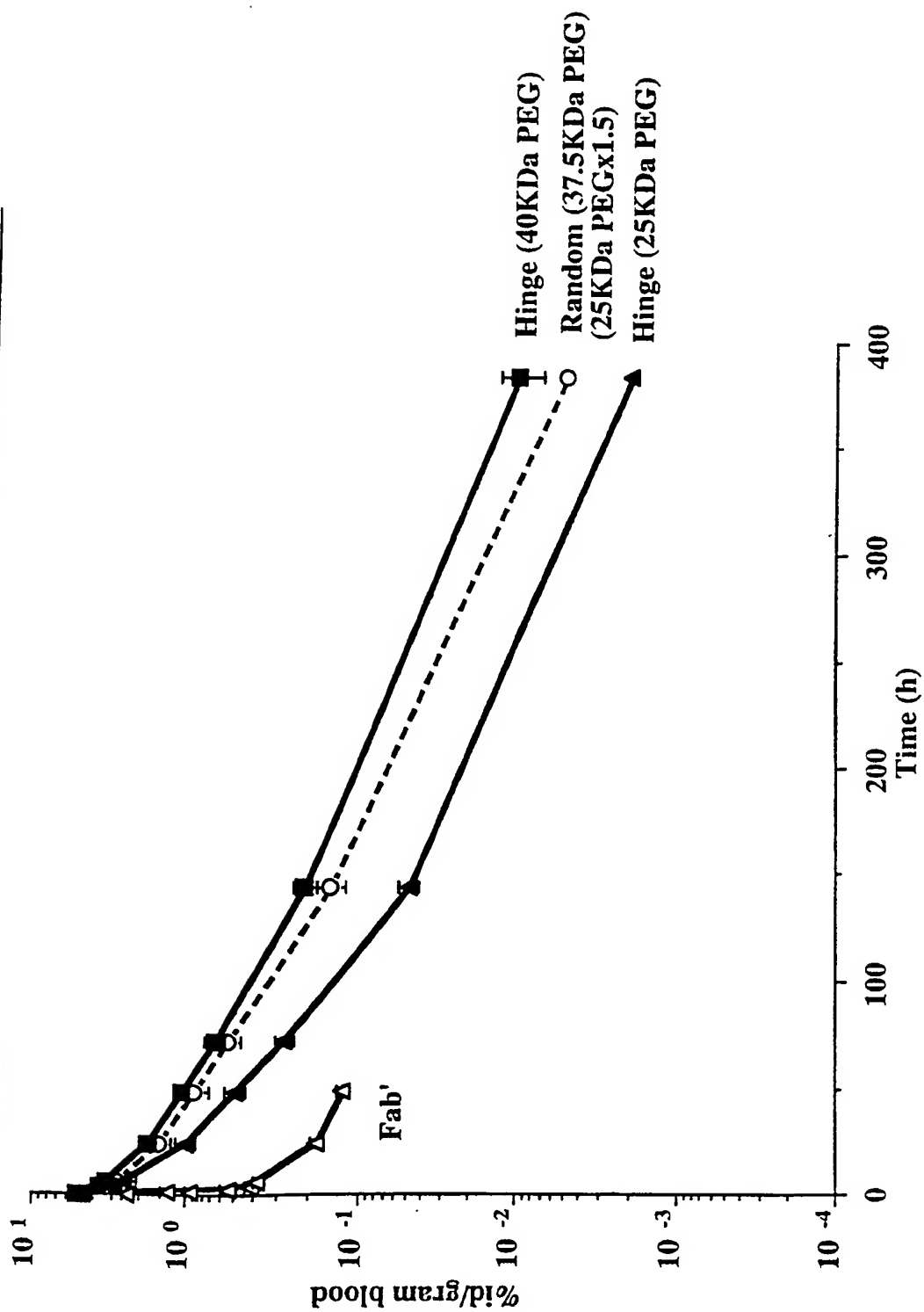
9/14

**FIG. 9 ECE15 : Pharmacokinetics of 125-I labelled TN3 in rats**  
Fab' PEGylated via the hinge with 25K PEG



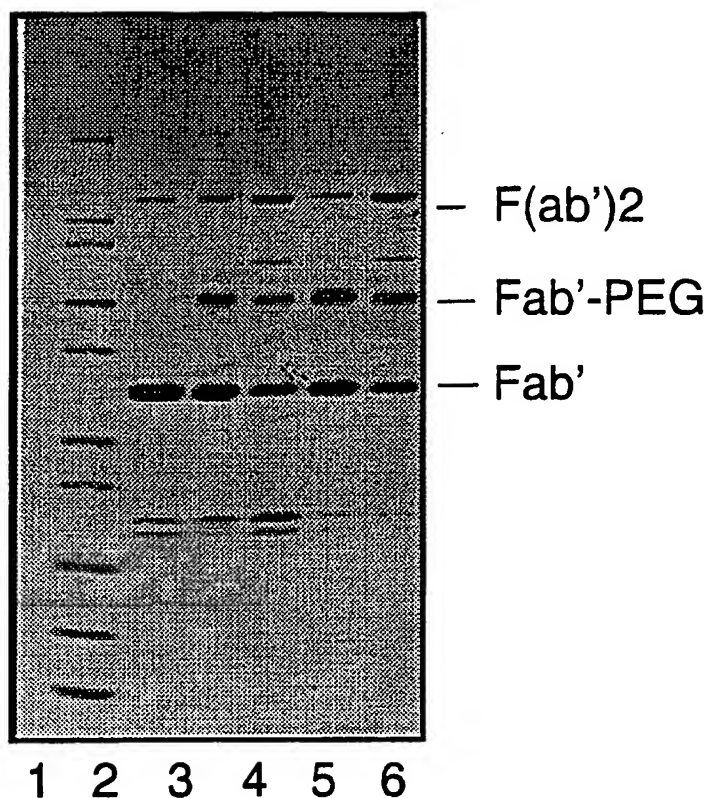
10 / 14

**FIG. 10 Pharmacokinetics of  $^{125}$ I labelled hTNF40 Fab'-PEG in rats**



11/14

SDS-PAGE analysis under non reducing  
conditions of Fab'-PEG  
(5kDa) prepared using a vinylsulphone or  
iodoacetamide reagent



1. Molecular weight marker proteins
2. Purified Fab' (also containing F(ab')<sub>2</sub>)
3. Fab'-PEG (5kDa, VS linker) reaction mix
4. Fab'-PEG (5kDa, IA linker) reaction mix
5. Fab'-PEG (5kDa, VS linker) reaction mix
6. Fab'-PEG (5kDa, IA linker) reaction mix

FIG. 11

BEST AVAILABLE COPY

FIG. 12

HPLC gel filtration analysis of (a) anti-PDGFBR Fab'-PEG reaction mix showing a peak of Fab'-PEG at 7.7 minutes and a peak of unreacted FAB' at 10.8 minutes.

12/14

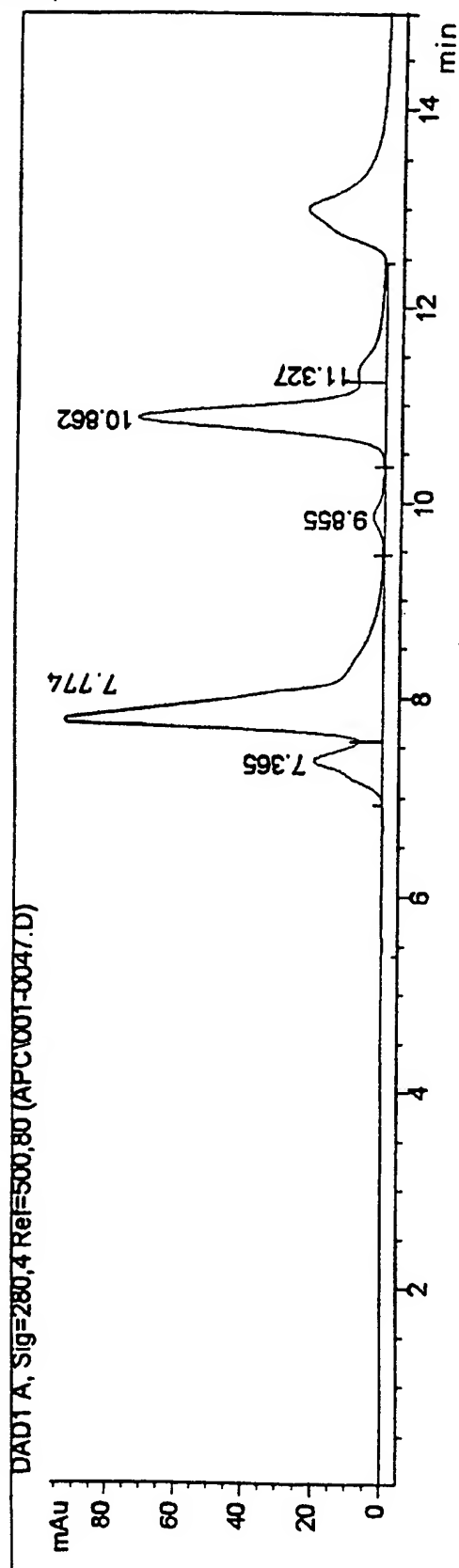
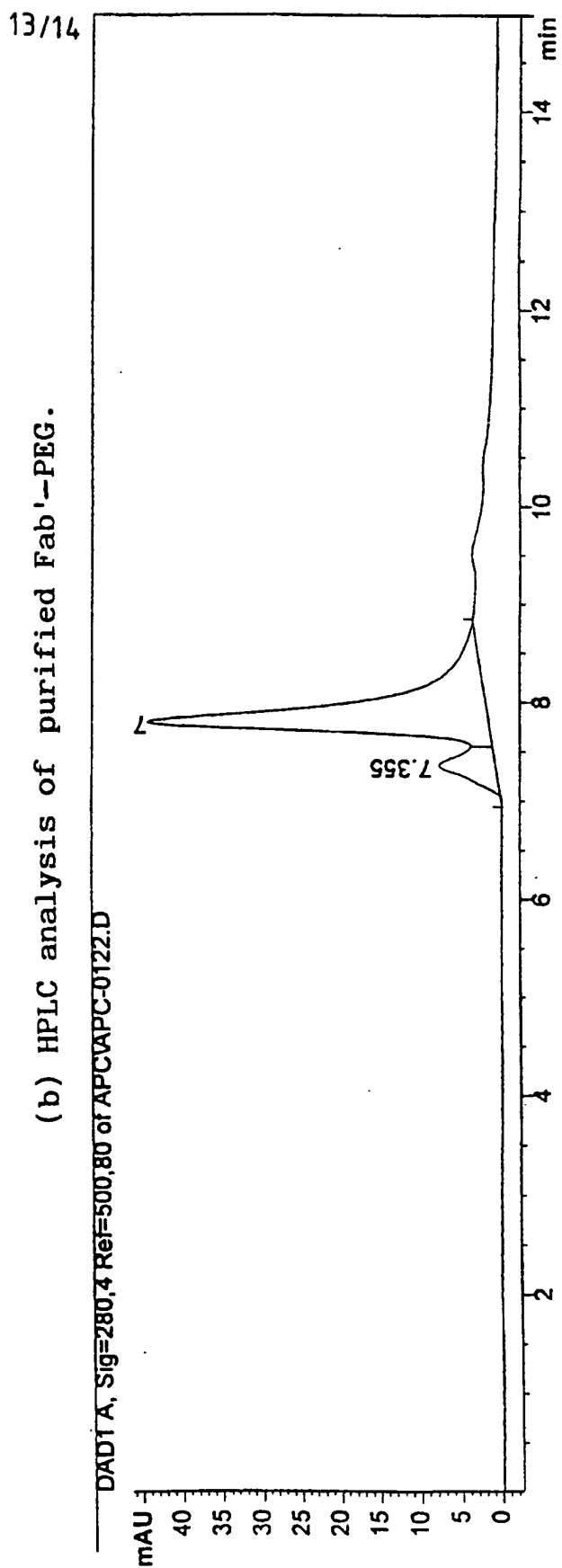
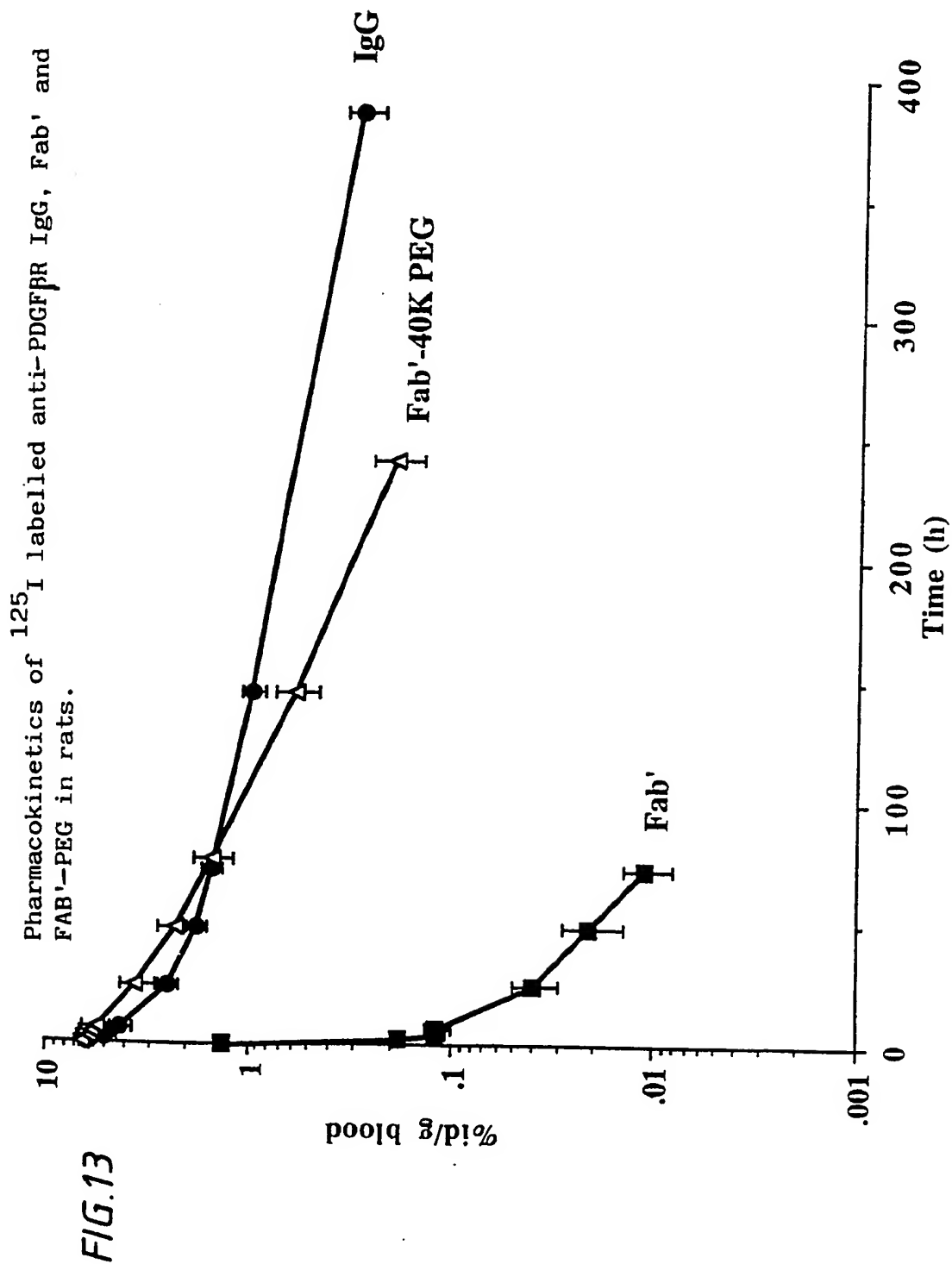


FIG. 12

(b) HPLC analysis of purified Fab'-PEG.



14/14



# INTERNATIONAL SEARCH REPORT

International Application No.

PC/GB 97/03400

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 C07K16/00

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	PEDLEY R. B. ET AL.,: "The potential for enhanced tumour localisation by poly(ethylene glycol) modification of anti-CEA antibody" BR. J. CANCER, vol. 70, - 1994 pages 1126-1130, XP002061757 see the whole document	1-10
X	WO 96 09325 A (IMMUNOMEDICS INC) 28 March 1996 see the whole document	1-10

-/--

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

8 April 1998

Date of mailing of the international search report

24/04/1998

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Müller, F

# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/GB 97/03400

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>CHIEN-TSUN KUAN ET AL: "PSEUDOMONAS EXTOXIN A MUTANTS REPLACEMENT OF SURFACE EXPOSED RESIDUES IN DOMAIN IN WITH CYSTEINERESIDUES THAT CAN BE MODIFIED WITH POLYETHYLENE GLYCOL IN A SITE-SPECIFIC MANNER" JOURNAL OF BIOLOGICAL CHEMISTRY, vol. 269, no. 10, 11 March 1994, pages 7610-7616, XP000563744 see the whole document</p> <p>---</p>	1-10
A	<p>WOGHIREN C. ET AL.: "Protected Thiol-Polyethylen glycol: a new activated polymer for reversible protein modification" BIOCONJUGATE CHEM., vol. 4, - 1993 pages 314-318, XP002061758 see the whole document</p> <p>-----</p>	1-10

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No.

PC1/GB 97/03400

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
W0 9609325 A	28-03-96	US 5670132 A	23-09-97
		AU 3674995 A	09-04-96
		CA 2200482 A	28-03-96
		EP 0784634 A	23-07-97
<hr/>			

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**